

**OFFICE OF CHIEF COUNSEL  
Safety Law Division**

DEC 18 2006

**RAILROAD SAFETY CIVIL PENALTY CASES  
CLOSED DURING FISCAL YEAR 2006**

This report summarizes the disposition of all civil penalty cases on which the Federal Railroad Administration (FRA) reached an agreement to collect, or issued an order requiring the payment of, a civil penalty during fiscal year 2006 under the Federal railroad safety statutes and regulations. Cases were closed under most of these statutes by agreements of settlement, and under the hazardous materials transportation laws (HMT) generally by final orders of assessment. Total penalty settlements and final assessments against companies, summarized in this report, were as follows:

Railroad safety statutes (except HMT)	\$ 7,989,470
HMT	<u>\$ 2,689,305</u>
Total Civil Penalties	\$10,678,775

FRA also collected \$10,500 on in civil penalty settlements from individuals for alleged violations of the railroad safety laws.

**The Federal Railroad Safety Statutes and Regulations**

In the 1890s, Congress began regulating the railroad industry for safety purposes by enacting narrowly drawn laws to deal with discrete rail safety issues such as safety appliances and locomotive inspection. Having determined the need for more comprehensive regulation, Congress enacted the Federal Railroad Safety Act of 1970 (FRSA), which granted rulemaking authority over "all areas of railroad safety." FRA has exercised this authority by issuing a wide variety of rail safety regulations. See Parts 209 through 244 of Title 49 of the Code of Federal Regulations. Civil penalties are an important means of enforcing those regulations and the safety statutes.

In 1975, the Hazardous Materials Transportation Act (HMTA) added civil penalties to the criminal penalties already available for hazardous materials violations. HMTA regulations, although issued by the Department of Transportation's (DOT's) Research and Special Programs Administration, are generally enforced by the DOT administration responsible for each mode of transportation, e.g., by FRA in cases involving the transportation or shipment of hazardous materials by rail. In 1994, Congress repealed the FRSA, HMTA, and other Federal railroad safety statutes and recodified them in Title 49 of the United States Code, chapters 51 and 201-213.

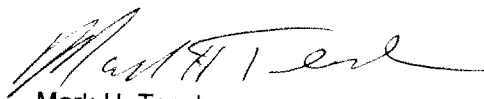
**The Civil Penalty Process**

In aid of their efforts to promote safety compliance, inspectors employed by FRA and participating states submit reports alleging violations of Federal safety laws and regulations to the Safety Law Division of the Office of Chief Counsel. This Division reviews the reports for legal sufficiency, aggregates those of one type containing one or more alleged violations into a single case, assesses a penalty for each alleged violation, and transmits the case to the railroad, shipper, individual, or other entity under a penalty demand letter or, if an HMT case, a Notice of Probable Violation. After a respondent in such a case has conducted its own investigation, and unless the respondent decides to simply pay the full amount of the initial assessment, negotiations are held, during which the respondent presents defenses or arguments in mitigation and offers of settlement or final assessment are exchanged. In these negotiations, FRA focuses on applying the statutory assessment criteria to the facts of each case. When an agreement is reached, payment is generally due within 30 days of its execution. A more detailed statement of this process and FRA's enforcement policies is included in 49 CFR Part 209, Appendix A. Where settlement cannot be reached, cases are

referred to the Department of Justice for litigation. The Attorney General, with FRA's active participation, brings suit in the appropriate Federal court.

HMT cases are normally concluded by issuance of an order of assessment predicated on a finding of a knowing violation. Where an HMT case cannot be resolved informally, a respondent is entitled to a formal hearing on FRA's allegations before a hearing officer designated by the Chief Counsel and then to an appeal to the Federal Railroad Administrator prior to judicial litigation.

FRA ordinarily closes the vast majority of its civil penalty cases without need for litigation, which is consistent with the rail safety statutory scheme that promotes compromise based on specified settlement criteria. The negotiation process takes into account the strengths and weaknesses of each case and the overall goals of the safety program. We believe that collection of these penalties, while just one of many compliance tools FRA uses, contributes significantly to improving regulatory compliance and achieving safety program goals.

A handwritten signature in black ink, appearing to read "Mark H. Tessler", with a stylized flourish at the end.

Mark H. Tessler  
Assistant Chief Counsel  
For Safety

Railroads are identified by their common industry designation (see the legend provided), which appears as the letters at the beginning of the case number. Hazardous materials and emergency order cases involving shippers are identified by a case number beginning with the letter "Z." Cases involving contractors are identified by a case number beginning with the letter "X". The column labeled "POCA" shows the initial penalty assessed in the case. The column labeled "PRCA" shows the total amount of the initial assessment for alleged violations on which FRA concluded it would probably be able to sustain its burden of proof if the case were litigated. PRCA is equal to POCA, therefore, except in two situations. First, if FRA concluded that one or more of the violations initially alleged could likely not be sustained, such violations are considered terminated. Second, if FRA decided that the violation could be sustained but that the initial penalty assessment for the violation was incorrect, the penalty amount is corrected, and the violation is described as "partially terminated". Cases against individuals (who are liable for civil penalties for willful violations) are identified by the prefix "Indpen" and are listed separately. The "comments" column shows the violation number of terminated or partially terminated violati

### **Abbreviations Used in this Report**

The type of violation alleged in each civil penalty case can be identified using the following codes, one of which appears as a suffix to each case number:

AD	Alcohol and drug regulations
AR	Accident reports laws and regulations
BW	Bridge Worker Safety Standards
EO	Emergency Order (under 49 U.S.C. § 20104)
EP	Railroad Safety Enforcement Procedures
EQ	Engineer qualifications regulations
FCS	Railroad Freight Car Safety Standards
GC	Grade Crossing Signal System Safety
GS	Safety Glazing Standards
HMT	Hazardous Materials Regulations
HS	Hours of service laws
HSR	Hours of service record keeping regulations
LI	Locomotive inspection laws and regulations
NE	Railroad Noise Emission Compliance
PEP	Passenger Train Emergency Preparedness
PEQ	Passenger Equipment Safety Standards
REM	Rear end marking devices regulations
ROP	Railroad Operating Practices
ROR	Railroad Operating Rules
RSP	Radio Standards and Procedures
RW	Roadway Worker Protection Rules
SA	Safety appliance laws and regulations
SI	Signal inspection law and regulations
TH	Train Horn/Quiet Zone
TS	Track Safety Standards

**FRA Civil Penalty Cases against Individuals  
Closed in Fiscal Year 2006**

<u>Case Number</u>	<u>Initial Penalty Demand</u>	<u>Settlement Amount</u>	<u>Settlement Date</u>
Indpen 2005-5	\$5,000	\$3,500	6-21-2006
Indpen 2005-6	5,000	3,500	5-5-2006
Indpen 2005-06	3,500	3,500	6-1-2006
Total	\$13,500		

Date:12/18/06

Page No.: 1

Federal Railroad Administration  
Safety Cases Closed in FY 2006Settlement/Final  
Assessment

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Comments
AA2006-1SA	1	\$22,500	\$22,500	\$15,075	09-29-2006	
AGCR2005-1SA	1	\$2,500	\$2,500	\$2,500	06-22-2006	
ALS2006-1HSR	1	\$1,000	\$1,000	\$1,000	08-07-2006	
ALS2006-2HS	1	\$1,000	\$1,000	\$1,000	08-07-2006	
ATK2005-15HS	24	\$24,000	\$24,000	\$15,600	07-03-2006	
ATK2005-16AR	2	\$7,500	\$7,500	\$4,300	07-03-2006	
ATK2005-17LI	1	\$2,500	\$2,500	\$1,500	07-03-2006	
ATK2005-20PEQ	2	\$5,000	\$5,000	\$3,500	07-03-2006	
ATK2005-21SA	1	\$2,500	\$2,500	\$1,700	07-03-2006	
ATK2005-22HS	4	\$4,000	\$4,000	\$2,600	07-03-2006	
ATK2005-23AD	1	\$5,000	\$5,000	\$2,500	07-03-2006	
ATK2005-24ROP	1	\$5,000	\$0	\$0	07-03-2006	TERMINATED VIOLATION (S): 1
ATK2005-25LI	1	\$2,500	\$2,500	\$1,500	07-03-2006	
ATK2005-26HSR	3	\$4,000	\$4,000	\$2,310	07-03-2006	
ATK2005-27HS	2	\$2,000	\$2,000	\$1,190	07-03-2006	
ATK2005-28SA	2	\$5,000	\$5,000	\$3,400	07-03-2006	
ATK2005-29PEQ	10	\$25,000	\$25,000	\$15,000	07-03-2006	
ATK2005-30PEQ	7	\$27,000	\$27,000	\$16,200	07-03-2006	
ATK2005-31HSR	1	\$1,000	\$1,000	\$580	07-03-2006	
ATK2005-32HS	2	\$2,000	\$2,000	\$1,200	07-03-2006	
ATK2005-34HS	3	\$3,000	\$3,000	\$1,950	07-03-2006	
ATK2005-35HS	4	\$4,000	\$4,000	\$2,600	07-03-2006	
ATK2005-36GC	1	\$5,000	\$5,000	\$4,000	07-03-2006	
ATK2005-38AD	1	\$2,500	\$2,500	\$1,350	07-03-2006	
ATK2005-39AR	1	\$2,500	\$2,500	\$1,500	07-03-2006	
ATK2005-40HS	1	\$1,000	\$1,000	\$650	07-03-2006	
ATK2005-41GS	8	\$20,000	\$20,000	\$12,000	07-03-2006	
ATK2005-42SI	2	\$2,000	\$2,000	\$1,350	07-03-2006	
ATK2005-43SI	1	\$5,000	\$5,000	\$3,700	07-03-2006	
ATK2006-1RW	2	\$10,000	\$10,000	\$9,000	07-03-2006	
ATK2006-2AR	2	\$5,000	\$5,000	\$3,000	07-03-2006	
ATK2006-3SA	3	\$10,000	\$10,000	\$6,200	07-03-2006	
ATK2006-4HS	8	\$8,000	\$8,000	\$5,200	07-03-2006	
AUAR2006-1FCS	1	\$2,500	\$2,500	\$2,500	05-31-2006	
AZER2006-1TS	1	\$1,000	\$1,000	\$1,000	08-17-2006	
AZER2006-2TS	1	\$5,000	\$5,000	\$5,000	08-17-2006	
BAR2002-1TS	1	\$5,000	\$5,000	\$5,000	05-05-2006	
BCTL2002-2ROR	1	\$2,500	\$2,500	\$550	01-26-2006	
BCTL2002-3HSR	2	\$2,000	\$2,000	\$1,100	01-26-2006	
BCTL2002-4EQ	1	\$5,000	\$5,000	\$550	01-26-2006	
BKRR2003-1AR	1	\$1,500	\$1,500	\$900	01-24-2006	
BLE2005-1SA	1	\$5,000	\$5,000	\$3,500	09-25-2006	
BM2005-2TS	2	\$2,000	\$2,000	\$1,200	06-26-2006	
BM2005-3TS	2	\$3,500	\$3,500	\$2,160	06-26-2006	
BM2006-1TS	2	\$7,500	\$7,500	\$5,160	06-26-2006	
BNSF2002-160AD	2	\$5,000	\$5,000	\$3,000	09-29-2006	
BNSF2002-191RO	1	\$2,500	\$2,500	\$1,500	09-28-2006	
P						
BNSF2003-60ROR	3	\$15,000	\$15,000	\$9,000	09-28-2006	

BNSF2003-162RS 3  
Date:12/18/06

\$3,000 \$3,000 \$2,250 09-28-2006

Page No.: 2

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount	Date	Comments
P							
BNSF2003-212RO	1	\$2,500	\$2,500	\$1,500	09-28-2006		
P							
BNSF2004-44HMT	2	\$7,000	\$7,000	\$4,830	09-28-2006		
BNSF2004-197AR	2	\$5,000	\$5,000	\$3,125	09-29-2006		
BNSF2004-198HM	1	\$2,000	\$2,000	\$1,380	09-28-2006		
T							
BNSF2004-204RS	2	\$5,000	\$5,000	\$3,250	09-28-2006		
P							
BNSF2005-10HMT	3	\$15,000	\$15,000	\$10,000	09-28-2006		
BNSF2005-13TS	16	\$38,500	\$38,500	\$26,325	09-29-2006		
BNSF2005-15SA	2	\$10,000	\$10,000	\$6,480	09-29-2006		
BNSF2005-18FCS	1	\$2,500	\$2,500	\$1,625	09-29-2006		
BNSF2005-20TS	3	\$12,500	\$12,500	\$8,450	09-29-2006		
BNSF2005-22TS	2	\$6,000	\$6,000	\$3,950	09-29-2006		
BNSF2005-24TS	2	\$5,000	\$5,000	\$3,375	09-29-2006		
BNSF2005-26HMT	1	\$6,000	\$6,000	\$4,200	09-28-2006		
BNSF2005-28HSR	1	\$1,000	\$1,000	\$850	09-28-2006		
BNSF2005-29SA	5	\$15,000	\$15,000	\$9,264	09-29-2006		
BNSF2005-32HMT	1	\$7,500	\$7,500	\$4,600	09-28-2006		
BNSF2005-35SA	7	\$27,500	\$27,500	\$18,840	09-29-2006		
BNSF2005-37SA	3	\$12,500	\$12,500	\$8,160	09-29-2006		
BNSF2005-40FCS	1	\$5,000	\$5,000	\$4,500	09-29-2006		
BNSF2005-41LI	2	\$12,500	\$12,500	\$8,905	09-28-2006		
BNSF2005-44TS	2	\$2,000	\$2,000	\$1,300	09-29-2006		
BNSF2005-46SA	1	\$2,500	\$2,500	\$1,512	09-29-2006		
BNSF2005-47SA	1	\$2,500	\$2,500	\$1,560	09-29-2006		
BNSF2005-49RW	3	\$6,000	\$6,000	\$4,140	09-29-2006		
BNSF2005-50HMT	2	\$4,000	\$4,000	\$2,760	09-28-2006		
BNSF2005-51SA	9	\$42,500	\$42,500	\$31,521	09-29-2006		
BNSF2005-52TS	3	\$7,000	\$7,000	\$4,500	09-29-2006		
BNSF2005-53TS	3	\$15,000	\$15,000	\$10,000	09-29-2006		
BNSF2005-55TS	11	\$30,000	\$30,000	\$19,825	09-29-2006		
BNSF2005-56TS	6	\$18,500	\$18,500	\$12,525	09-29-2006		
BNSF2005-57SA	1	\$2,500	\$2,500	\$1,920	09-29-2006		
BNSF2005-58SA	5	\$12,500	\$12,500	\$3,312	09-29-2006		
BNSF2005-59SA	5	\$15,000	\$12,500	\$5,688	09-29-2006		TERMINATED VIOLATION (S): 5
BNSF2005-60HMT	1	\$2,000	\$2,000	\$1,380	09-28-2006		
BNSF2005-61TS	2	\$10,000	\$10,000	\$6,700	09-29-2006		
BNSF2005-62HMT	2	\$12,500	\$12,500	\$8,950	09-28-2006		
BNSF2005-63SA	4	\$12,500	\$12,500	\$7,440	09-29-2006		
BNSF2005-64SA	4	\$10,000	\$10,000	\$5,160	09-29-2006		
BNSF2005-65SA	4	\$10,000	\$10,000	\$4,896	09-29-2006		
BNSF2005-66SA	4	\$10,000	\$7,500	\$5,760	09-29-2006		TERMINATED VIOLATION (S): 4
BNSF2005-67SA	4	\$10,000	\$7,500	\$5,400	09-29-2006		TERMINATED VIOLATION

BNSF2005-68SA 4  
Date:12/18/06

\$9,500 \$9,500

(S): 1  
\$5,904 09-29-2006

Page No.: 3

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount Date	Comments
BNSF2005-69ROR	1	\$5,000	\$5,000	\$3,000 09-28-2006	
BNSF2005-70SA	1	\$2,500	\$2,500	\$1,560 09-29-2006	
BNSF2005-71LI	23	\$23,000	\$23,000	\$18,000 09-28-2006	
BNSF2005-72SA	1	\$2,500	\$2,500	\$1,560 09-29-2006	
BNSF2005-74HSR	2	\$2,000	\$2,000	\$1,700 09-28-2006	
BNSF2005-75HS	1	\$1,000	\$1,000	\$750 09-28-2006	
BNSF2005-76FCS	1	\$2,500	\$2,500	\$1,625 09-29-2006	
BNSF2005-77SA	10	\$30,000	\$25,000	\$14,880 09-29-2006	REDUCED PO CA BY \$500 0
BNSF2005-78SA	8	\$22,500	\$22,500	\$12,576 09-29-2006	
BNSF2005-79TS	3	\$11,000	\$11,000	\$7,600 09-29-2006	
BNSF2005-80TS	5	\$17,000	\$17,000	\$11,700 09-29-2006	
BNSF2005-81TS	3	\$8,500	\$8,500	\$5,825 09-29-2006	
BNSF2005-83SA	6	\$15,000	\$15,000	\$9,360 09-29-2006	
BNSF2005-84ROP	1	\$2,500	\$2,500	\$1,625 09-28-2006	
BNSF2005-85SA	6	\$17,500	\$15,000	\$10,080 09-29-2006	
BNSF2005-86TS	35	\$80,000	\$80,000	\$53,600 09-29-2006	
BNSF2005-87TS	7	\$34,500	\$34,500	\$24,050 09-29-2006	
BNSF2005-88TS	4	\$12,500	\$12,500	\$8,375 09-29-2006	
BNSF2005-89TS	3	\$6,000	\$6,000	\$4,450 09-29-2006	
BNSF2005-90REM	1	\$5,000	\$5,000	\$3,250 09-28-2006	
BNSF2005-91SA	2	\$5,000	\$5,000	\$3,360 09-29-2006	
BNSF2005-92TS	2	\$3,500	\$3,500	\$2,325 09-29-2006	
BNSF2005-93RW	3	\$6,000	\$5,000	\$3,360 09-29-2006	POCA WAS R EDUCED BY \$1000
BNSF2005-95HMT	1	\$2,500	\$2,500	\$1,700 09-28-2006	
BNSF2005-96HMT	1	\$5,000	\$0	\$0 09-28-2006	TERMINATED VIOLATION (S): 1
BNSF2005-97HMT	1	\$5,000	\$5,000	\$3,500 09-28-2006	
BNSF2005-98TS	2	\$7,500	\$7,500	\$4,500 09-29-2006	
BNSF2005-99TS	3	\$10,000	\$10,000	\$6,900 09-29-2006	
BNSF2005-100TS	1	\$1,000	\$1,000	\$600 09-29-2006	
BNSF2005-101SA	2	\$5,000	\$5,000	\$2,520 09-29-2006	
BNSF2005-102TS	1	\$5,000	\$5,000	\$3,350 09-29-2006	
BNSF2005-103SA	4	\$12,500	\$10,000	\$5,568 09-29-2006	TERMINATED VIOLATION (S): 2
BNSF2005-104SA	4	\$10,000	\$10,000	\$5,568 09-29-2006	
BNSF2005-105TS	2	\$6,000	\$6,000	\$4,020 09-29-2006	
BNSF2005-106TS	3	\$7,500	\$7,500	\$5,075 09-29-2006	
BNSF2005-107TS	1	\$2,500	\$2,500	\$1,650 09-29-2006	
BNSF2005-108EQ	1	\$4,000	\$4,000	\$2,400 09-29-2006	
BNSF2005-109LI	4	\$11,500	\$11,500	\$8,475 09-28-2006	
BNSF2005-110SA	5	\$12,500	\$7,500	\$5,040 09-29-2006	TERMINATED VIOLATION (S): 3,5



BNSF2005-111SA	3	\$7,500	\$7,500	\$5,160	09-29-2006
BNSF2005-113LI	1	\$1,000	\$1,000	\$650	09-28-2006
BNSF2005-114SA	7	\$20,000	\$20,000	\$13,368	09-29-2006

Date:12/18/06

Page No.: 4

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount Date	Comments
BNSF2005-115SA	7	\$17,500	\$17,500	\$10,248	09-29-2006	
BNSF2005-116RW	1	\$5,000	\$5,000	\$3,150	09-29-2006	
BNSF2005-117FC	1	\$2,500	\$2,500	\$1,850	09-29-2006	
S						
BNSF2005-118SI	1	\$2,500	\$1,000	\$700	09-29-2006	POCA WAS R EDUCED BY \$1500
BNSF2005-119TS	2	\$5,000	\$5,000	\$3,450	09-29-2006	
BNSF2005-120FC	1	\$2,500	\$2,500	\$2,050	09-29-2006	
S						
BNSF2005-121TS	3	\$3,000	\$3,000	\$1,900	09-29-2006	
BNSF2005-122SI	1	\$1,000	\$1,000	\$650	09-29-2006	
BNSF2005-123SA	6	\$17,500	\$17,500	\$10,368	09-29-2006	
BNSF2005-124SA	6	\$20,000	\$20,000	\$14,640	09-29-2006	
BNSF2005-125AR	3	\$7,500	\$5,000	\$2,500	09-29-2006	TERMINATED VIOLATION (S): 1
BNSF2005-127HM	1	\$2,500	\$2,500	\$1,700	09-28-2006	
T						
BNSF2005-128HM	1	\$7,000	\$7,000	\$4,500	09-28-2006	
T						
BNSF2005-129GC	1	\$5,000	\$5,000	\$3,000	09-29-2006	
BNSF2005-130SA	6	\$15,000	\$15,000	\$9,600	09-29-2006	
BNSF2005-131SA	3	\$7,500	\$7,500	\$3,888	09-29-2006	
BNSF2005-132FC	1	\$2,500	\$2,500	\$1,625	09-29-2006	
S						
BNSF2005-133SI	2	\$7,500	\$7,500	\$4,625	09-29-2006	
BNSF2005-134RS	1	\$5,000	\$5,000	\$3,500	09-28-2006	
P						
BNSF2005-135TS	4	\$20,000	\$20,000	\$14,500	09-29-2006	
BNSF2005-136TS	1	\$1,000	\$1,000	\$650	09-29-2006	
BNSF2005-137SA	5	\$11,500	\$9,500	\$5,088	09-29-2006	TERMINATED VIOLATION (S): 1
BNSF2005-138SA	5	\$12,500	\$12,500	\$4,896	09-29-2006	
BNSF2005-139HS	4	\$4,000	\$4,000	\$2,600	09-28-2006	
R						
BNSF2005-140HM	2	\$10,000	\$10,000	\$7,200	09-28-2006	
T						
BNSF2005-141TS	1	\$2,500	\$2,500	\$1,625	09-29-2006	
BNSF2005-142EQ	1	\$1,000	\$1,000	\$600	09-29-2006	
BNSF2005-143SA	2	\$5,000	\$5,000	\$3,600	09-29-2006	
BNSF2005-144TS	2	\$10,000	\$10,000	\$6,700	09-29-2006	
BNSF2005-145SA	1	\$2,500	\$2,500	\$1,680	09-29-2006	
BNSF2005-146SA	4	\$10,000	\$10,000	\$6,120	09-29-2006	
BNSF2005-148TS	7	\$32,500	\$32,500	\$21,425	09-29-2006	
BNSF2005-149TS	8	\$32,500	\$32,500	\$21,425	09-29-2006	
BNSF2005-150TS	9	\$19,000	\$19,000	\$12,700	09-29-2006	

BNSF2005-151TS	3	\$12,000	\$12,000	\$7,970	09-29-2006
BNSF2005-152LI	1	\$2,500	\$2,500	\$2,175	09-28-2006
BNSF2005-153RW	2	\$4,000	\$4,000	\$2,520	09-29-2006
BNSF2005-154TS	6	\$20,000	\$20,000	\$12,950	09-29-2006

Date:12/18/06

Page No.: 5

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Comments
BNSF2005-155TS	3	\$15,000	\$15,000	\$9,800	09-29-2006	
BNSF2005-156TS	4	\$17,500	\$17,500	\$12,550	09-29-2006	
BNSF2005-157SA	6	\$17,500	\$15,000	\$11,260	09-29-2006	TERMINATED #5 PARTIAL TERMINATION
BNSF2005-158TS	4	\$15,000	\$15,000	\$9,950	09-29-2006	
BNSF2005-159SA	5	\$11,500	\$11,500	\$7,440	09-29-2006	
BNSF2005-160SA	5	\$12,500	\$12,500	\$8,040	09-29-2006	
BNSF2005-161SA	6	\$15,000	\$15,000	\$9,408	09-29-2006	
BNSF2005-162HS R	1	\$1,000	\$1,000	\$650	09-28-2006	
BNSF2005-163AR	1	\$2,500	\$2,500	\$2,125	09-29-2006	
BNSF2005-165LI	1	\$2,500	\$2,500	\$2,175	09-28-2006	
BNSF2005-166EQ	1	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2005-167SA	4	\$17,000	\$12,000	\$8,500	09-29-2006	PARTIAL TERMINATION FROM #1, #2
BNSF2005-168SA	4	\$10,000	\$10,000	\$4,968	09-29-2006	
BNSF2005-169TS	1	\$2,500	\$2,500	\$1,800	09-29-2006	
BNSF2005-170TS	6	\$15,500	\$15,500	\$10,665	09-29-2006	
BNSF2005-171HMT	5	\$10,500	\$10,500	\$7,000	09-28-2006	
BNSF2005-172TS	3	\$12,500	\$12,500	\$8,250	09-29-2006	
BNSF2005-173TS	6	\$30,000	\$30,000	\$20,550	09-29-2006	
BNSF2005-174TS	5	\$13,500	\$13,500	\$9,075	09-29-2006	
BNSF2005-175TS	3	\$15,000	\$15,000	\$9,950	09-29-2006	
BNSF2005-176SA	6	\$19,500	\$19,500	\$13,260	09-29-2006	
BNSF2005-178TS	2	\$7,500	\$7,500	\$5,075	09-29-2006	
BNSF2005-179TS	13	\$57,500	\$57,500	\$39,375	09-29-2006	
BNSF2005-180TS	1	\$5,000	\$5,000	\$3,750	09-29-2006	
BNSF2005-181SA	4	\$11,000	\$11,000	\$5,928	09-29-2006	
BNSF2005-182GC	1	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2005-183SA	2	\$5,000	\$5,000	\$3,360	09-29-2006	
BNSF2005-184RW	2	\$12,000	\$12,000	\$7,560	09-29-2006	
BNSF2005-185TS	4	\$10,000	\$10,000	\$6,500	09-29-2006	
BNSF2005-186HMT	1	\$2,000	\$2,000	\$1,400	09-28-2006	
BNSF2005-187TS	1	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2005-189TS	5	\$17,000	\$17,000	\$11,050	09-29-2006	
BNSF2005-190TS	3	\$3,000	\$3,000	\$1,950	09-29-2006	
BNSF2005-191TS	2	\$2,000	\$2,000	\$1,300	09-29-2006	
BNSF2005-192TS	1	\$5,000	\$5,000	\$3,500	09-29-2006	
BNSF2005-193SA	3	\$7,500	\$7,500	\$4,560	09-29-2006	
BNSF2005-194SA	8	\$27,500	\$27,500	\$13,536	09-29-2006	
BNSF2005-195SA	3	\$9,500	\$9,500	\$5,760	09-29-2006	

BNSF2005-196SA	3	\$7,500	\$7,500	\$4,368	09-29-2006	
BNSF2005-197SA	5	\$12,500	\$12,500	\$8,640	09-29-2006	
BNSF2005-198SA	5	\$14,500	\$12,500	\$5,856	09-29-2006	PARTIAL TE

RMINATION  
OF #1

Date:12/18/06

Page No.: 6

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Comments
BNSF2005-200HS	2	\$2,000	\$2,000	\$1,400	09-28-2006	
BNSF2005-201HMT	1	\$5,000	\$5,000	\$3,250	09-28-2006	
BNSF2005-202SA	8	\$19,000	\$16,500	\$9,168	09-29-2006	TERMINATED VIOLATION (S): 7
BNSF2005-203ROP	1	\$2,500	\$2,500	\$1,625	09-28-2006	
BNSF2005-204SA	7	\$29,500	\$27,500	\$16,920	09-29-2006	PARTIAL TE RMINATION OF #2
BNSF2005-205FCS	1	\$2,500	\$2,500	\$1,625	09-29-2006	
BNSF2005-206SA	5	\$12,500	\$12,500	\$5,616	09-29-2006	
BNSF2005-207HSR	11	\$11,000	\$11,000	\$7,150	09-28-2006	
BNSF2005-208HMT	2	\$13,500	\$13,500	\$9,500	09-28-2006	
BNSF2005-209AR	2	\$5,000	\$5,000	\$3,750	09-29-2006	
BNSF2005-210TS	2	\$3,500	\$3,500	\$2,450	09-29-2006	
BNSF2005-211TS	2	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2005-212SA	3	\$6,000	\$6,000	\$2,880	09-29-2006	
BNSF2005-213SA	3	\$7,500	\$7,500	\$3,528	09-29-2006	
BNSF2005-214ROP	1	\$2,500	\$2,500	\$1,625	09-28-2006	
BNSF2005-215LI	7	\$15,500	\$15,500	\$11,500	09-28-2006	
BNSF2005-216SA	6	\$17,500	\$17,500	\$12,560	09-29-2006	
BNSF2005-217SA	6	\$21,500	\$21,500	\$13,920	09-29-2006	
BNSF2005-218SA	6	\$16,500	\$16,500	\$9,080	09-29-2006	
BNSF2005-219AR	3	\$7,500	\$7,500	\$5,500	09-29-2006	
BNSF2005-220HMT	1	\$3,000	\$3,000	\$2,400	09-28-2006	
BNSF2005-221HMT	1	\$3,000	\$3,000	\$2,100	09-28-2006	
BNSF2005-222HMT	1	\$2,500	\$2,500	\$1,700	09-28-2006	
BNSF2005-223TS	1	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2005-224SA	9	\$29,500	\$29,500	\$20,390	09-29-2006	
BNSF2005-225SA	3	\$10,000	\$10,000	\$6,240	09-29-2006	
BNSF2005-226SA	1	\$5,000	\$5,000	\$3,600	09-29-2006	
BNSF2005-228AD	1	\$2,500	\$2,500	\$1,250	09-29-2006	
BNSF2005-230EP	1	\$1,500	\$1,500	\$1,125	09-29-2006	
BNSF2005-231TS	1	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2005-232TS	3	\$11,000	\$11,000	\$7,700	09-29-2006	
BNSF2005-233TS	1	\$5,000	\$5,000	\$3,500	09-29-2006	
BNSF2005-234SA	2	\$4,500	\$2,500	\$550	09-29-2006	TERMINATED

VIOLATION(S): 1

BNSF2005-235SA 1 \$2,500 \$2,500 \$1,920 09-29-2006  
 BNSF2005-236HMT 1 \$2,000 \$2,000 \$1,400 09-28-2006  
 BNSF2005-237SI 1 \$5,000 \$5,000 \$3,250 09-29-2006  
 Date:12/18/06

Page No.: 7

Federal Railroad Administration  
 Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2005-238RSP	2	\$5,000	\$5,000	\$3,500	09-28-2006	
BNSF2005-240TS	2	\$7,500	\$7,500	\$5,100	09-29-2006	
BNSF2005-241TS	2	\$7,500	\$7,500	\$4,875	09-29-2006	
BNSF2005-242TS	1	\$2,500	\$2,500	\$2,000	09-29-2006	
BNSF2005-243RW	4	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2005-244RSP	1	\$2,500	\$2,500	\$1,800	09-28-2006	
BNSF2005-245FCS	1	\$2,500	\$2,500	\$1,625	09-29-2006	
BNSF2005-246LI	1	\$2,500	\$2,500	\$1,675	09-28-2006	
BNSF2005-247TS	1	\$5,000	\$5,000	\$3,500	09-29-2006	
BNSF2005-249SA	5	\$22,500	\$20,000	\$14,472	09-29-2006	TERMINATED VIOLATION (S): 1
BNSF2005-250SA	4	\$10,000	\$10,000	\$6,720	09-29-2006	257
BNSF2005-251PEP	1	\$2,500	\$2,500	\$1,700	09-28-2006	
BNSF2005-254GC	1	\$5,000	\$5,000	\$3,500	09-29-2006	
BNSF2005-256ROR	1	\$5,000	\$5,000	\$4,000	09-28-2006	
BNSF2005-257SA	2	\$7,500	\$7,500	\$5,040	09-29-2006	
BNSF2005-258TS	4	\$10,000	\$10,000	\$6,200	09-29-2006	
BNSF2005-259SA	5	\$12,000	\$12,000	\$7,488	09-29-2006	
BNSF2005-260SA	2	\$5,000	\$2,500	\$1,824	09-29-2006	TERMINATED VIOLATION (S): 1
BNSF2005-262SA	2	\$20,000	\$10,000	\$7,680	09-29-2006	
BNSF2005-263SA	2	\$4,500	\$4,500	\$3,110	09-29-2006	
BNSF2005-264SA	5	\$17,000	\$17,000	\$11,914	09-29-2006	
BNSF2005-265SA	5	\$17,500	\$17,500	\$12,096	09-29-2006	
BNSF2005-266HSR	4	\$4,000	\$4,000	\$2,600	09-28-2006	
BNSF2005-267AR	3	\$7,500	\$7,500	\$4,625	09-29-2006	
BNSF2005-270TS	1	\$1,000	\$1,000	\$650	09-29-2006	
BNSF2005-272SA	1	\$2,500	\$2,500	\$1,680	09-29-2006	
BNSF2005-274SI	1	\$5,000	\$5,000	\$3,250	09-29-2006	
BNSF2006-1SA	3	\$7,500	\$7,500	\$5,112	09-29-2006	
BNSF2006-2SA	3	\$9,500	\$9,500	\$6,384	09-29-2006	
BNSF2006-3LI	1	\$2,000	\$2,000	\$1,300	09-28-2006	
BNSF2006-5SA	2	\$5,000	\$5,000	\$3,744	09-29-2006	
BNSF2006-6SA	4	\$10,000	\$10,000	\$6,720	09-29-2006	
BNSF2006-7SA	7	\$17,500	\$2,500	\$1,680	09-29-2006	TERMINATED VIOLATION (S): 1,3,4

BNSF2006-8LI	1	\$2,500	\$2,500	\$1,675	09-28-2006	, 5, 6, 7
BNSF2006-12SA	2	\$7,500	\$7,500	\$5,040	09-29-2006	
BNSF2006-13SA	1	\$2,500	\$2,500	\$1,680	09-29-2006	
BNSF2006-15SA	6	\$22,500	\$20,000	\$12,960	09-29-2006	TERMINATED VIOLATION (S): 2

Date:12/18/06

Page No.: 8

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2006-16SA	7	\$22,500	\$22,500	\$14,688	09-29-2006	
BNSF2006-17SA	6	\$14,000	\$14,000	\$9,005	09-29-2006	
BNSF2006-18SA	6	\$50,000	\$50,000	\$36,000	09-29-2006	
BNSF2006-20HMT	1	\$4,000	\$4,000	\$2,620	09-28-2006	
BNSF2006-22HS	2	\$2,000	\$2,000	\$1,200	09-28-2006	
BNSF2006-27TS	3	\$7,500	\$7,500	\$4,650	09-29-2006	
BNSF2006-29SA	1	\$10,000	\$10,000	\$6,720	09-29-2006	
BNSF2006-31ROP	1	\$2,500	\$2,500	\$1,625	09-28-2006	
BNSF2006-32SA	5	\$17,000	\$17,000	\$11,424	09-29-2006	
BNSF2006-35SA	3	\$12,500	\$12,500	\$8,400	09-29-2006	
BNSF2006-37SA	4	\$10,000	\$10,000	\$5,760	09-29-2006	
BNSF2006-39SA	1	\$5,000	\$5,000	\$3,840	09-29-2006	
BNSF2006-42ROR	2	\$10,000	\$10,000	\$8,000	09-28-2006	
BNSF2006-45HMT	1	\$5,000	\$5,000	\$3,500	09-28-2006	
BNSF2006-46HMT	1	\$2,500	\$2,500	\$1,700	09-28-2006	
BNSF2006-48HMT	1	\$2,000	\$2,000	\$1,400	09-28-2006	
BNSF2006-50SA	1	\$2,500	\$2,500	\$1,920	09-29-2006	
BNSF2006-53TS	3	\$7,500	\$7,500	\$4,875	09-29-2006	
BNSF2006-55SA	1	\$2,500	\$2,500	\$1,440	09-29-2006	
BNSF2006-59SA	1	\$2,500	\$2,500	\$1,440	09-29-2006	
BNSF2006-60SA	5	\$14,500	\$14,500	\$9,466	09-29-2006	
BNSF2006-61SA	4	\$12,000	\$12,000	\$9,101	09-29-2006	
BNSF2006-62SA	5	\$15,000	\$15,000	\$11,088	09-29-2006	
BNSF2006-67TS	1	\$2,500	\$2,500	\$1,625	09-29-2006	
BRC2004-6AR	1	\$2,500	\$2,500	\$1,625	07-28-2006	
BRC2004-7EQ	3	\$7,500	\$7,500	\$4,875	07-28-2006	
BRC2005-1SA	1	\$5,000	\$5,000	\$3,400	07-28-2006	
BRC2005-2SA	4	\$20,000	\$20,000	\$13,600	07-28-2006	
BRC2005-3FCS	2	\$7,500	\$7,500	\$5,300	07-28-2006	
BRC2005-4SA	1	\$5,000	\$3,400	\$5,000	07-28-2006	
BRC2005-5LI	2	\$7,500	\$7,500	\$5,100	07-28-2006	
BRC2005-6SA	1	\$5,000	\$5,000	\$3,400	07-28-2006	
BRC2005-7FCS	2	\$7,500	\$7,500	\$5,300	07-28-2006	
BRC2005-8SA	4	\$15,000	\$15,000	\$10,200	07-28-2006	
BRC2005-9HMT	3	\$12,000	\$12,000	\$6,000	07-28-2006	
BRG2005-1SA	3	\$10,000	\$10,000	\$8,500	01-25-2006	
BRG2005-2FCS	1	\$2,500	\$2,500	\$2,500	03-27-2006	
BS2003-1FCS	1	\$5,000	\$5,000	\$3,000	02-06-2006	
BS2004-1ROP	2	\$7,000	\$7,000	\$4,500	02-06-2006	
BS2004-2ROR	1	\$5,000	\$5,000	\$3,000	02-06-2006	
BS2004-3AR	1	\$2,500	\$2,500	\$1,250	02-06-2006	
CBRM2004-1TS	4	\$20,000	\$0	\$0	02-28-2006	TERMINATED VIOLATION (S): 1, 2, 3

CBRM2004-2ROR	1	\$2,500	\$2,500	\$500	02-28-2006
CBRM2004-3EQ	1	\$2,500	\$2,500	\$500	02-28-2006
CCT2004-1HMT	1	\$2,500	\$2,500	\$1,875	11-30-2005
CCT2004-2GC	1	\$1,000	\$1,000	\$650	11-30-2005
CLC2005-1AD	1	\$2,500	\$2,500	\$2,500	03-15-2006
CN2005-1FCS	1	\$2,500	\$2,500	\$1,750	09-25-2006
CN2005-2GC	1	\$5,000	\$5,000	\$3,500	09-25-2006

Date:12/18/06

Page No.: 9

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date	Comments
CN2005-3HMT	1	\$3,000	\$3,000	\$2,000	09-25-2006	
CN2005-4TS	42	\$42,000	\$42,000	\$31,500	09-25-2006	
CN2005-5TS	43	\$112,500	\$112,500	\$67,500	09-25-2006	
CN2005-6LI	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-7HMT	2	\$7,500	\$7,500	\$5,000	09-25-2006	
CN2005-8SA	6	\$22,500	\$22,500	\$15,750	09-25-2006	
CN2005-9ROP	3	\$15,000	\$15,000	\$10,000	09-25-2006	
CN2005-10FCS	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-11SA	4	\$15,000	\$15,000	\$10,000	09-25-2006	
CN2005-12SA	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-13FCS	1	\$2,500	\$2,500	\$1,750	09-25-2006	
CN2005-14HMT	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-15HMT	1	\$5,000	\$5,000	\$3,350	09-25-2006	
CN2005-16SI	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-17HMT	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-18HMT	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-19SA	3	\$7,500	\$7,500	\$5,250	09-25-2006	
CN2005-20SA	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-21SA	1	\$3,500	\$3,500	\$2,500	09-25-2006	
CN2005-22HS	1	\$1,000	\$1,000	\$700	09-25-2006	
CN2005-23GC	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-24HMT	1	\$6,000	\$6,000	\$4,000	09-25-2006	
CN2005-25HMT	6	\$12,000	\$12,000	\$8,400	09-25-2006	
CN2005-26HMT	1	\$4,000	\$4,000	\$2,800	09-25-2006	
CN2005-27REM	1	\$2,500	\$2,500	\$1,625	09-25-2006	
CN2005-28HMT	1	\$2,000	\$2,000	\$1,400	09-25-2006	
CN2005-29TS	1	\$2,000	\$2,000	\$1,400	09-25-2006	
CN2005-30SA	1	\$2,500	\$2,500	\$1,750	09-25-2006	
CN2005-32RW	1	\$2,500	\$2,500	\$1,750	09-25-2006	
CN2005-33SA	2	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-34FCS	3	\$7,500	\$7,500	\$5,000	09-25-2006	
CN2005-35HMT	13	\$26,000	\$26,000	\$18,200	09-25-2006	
CN2005-36SA	1	\$2,500	\$2,500	\$1,850	09-25-2006	
CN2005-37TS	10	\$50,000	\$50,000	\$35,000	09-25-2006	
CN2005-38LI	4	\$10,000	\$10,000	\$7,000	09-25-2006	
CN2005-39RW	1	\$2,500	\$2,500	\$1,750	09-25-2006	
CN2005-40GC	3	\$3,000	\$3,000	\$2,000	09-25-2006	
CN2005-41GC	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-42SA	1	\$2,500	\$2,500	\$1,750	09-25-2006	
CN2005-43HMT	1	\$5,000	\$5,000	\$3,500	09-25-2006	
CN2005-44GS	2	\$5,000	\$5,000	\$3,250	09-25-2006	
CN2005-45HMT	1	\$3,000	\$3,000	\$2,100	09-25-2006	
CN2005-46FCS	1	\$2,500	\$2,500	\$1,750	09-25-2006	

CN2005-47SA	2	\$5,000	\$5,000	\$3,500	09-25-2006
CN2005-48LI	1	\$2,500	\$2,500	\$1,750	09-25-2006
CN2005-49FCS	3	\$10,000	\$10,000	\$7,000	09-25-2006
CN2005-50SA	1	\$2,500	\$2,500	\$1,500	09-25-2006
CN2005-51AR	1	\$1,000	\$1,000	\$700	08-25-2006
CN2005-52HMT	2	\$4,000	\$4,000	\$2,800	09-25-2006
CN2005-53FCS	1	\$5,000	\$5,000	\$3,500	09-25-2006
CN2005-54FCS	3	\$10,000	\$10,000	\$7,000	09-25-2006
CN2005-55GC	1	\$5,000	\$5,000	\$3,500	09-29-2006

Date:12/18/06

Page No.: 10

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount	Date	Comments
CN2005-56TS	8	\$8,000	\$8,000		\$5,600	09-25-2006	
CN2005-57SA	2	\$5,000	\$5,000		\$3,500	09-25-2006	
CN2005-58SA	3	\$7,500	\$7,500		\$4,500	09-25-2006	
CN2005-59HMT	1	\$7,500	\$7,500		\$5,250	09-25-2006	
CN2005-60HMT	6	\$12,000	\$12,000		\$8,400	09-25-2006	
CN2005-61HMT	1	\$5,000	\$5,000		\$3,500	09-25-2006	
CN2005-62FCS	4	\$10,000	\$10,000		\$7,000	09-25-2006	
CN2005-63GC	1	\$5,000	\$5,000		\$3,500	09-25-2006	
CN2005-64HMT	3	\$15,000	\$15,000		\$10,500	09-25-2006	
CN2005-65GC	1	\$5,000	\$5,000		\$3,500	09-25-2006	
CN2006-1SA	2	\$5,000	\$5,000		\$3,500	09-25-2006	
CN2006-2GC	1	\$1,000	\$1,000		\$700	09-25-2006	
CN2006-3SA	2	\$5,000	\$5,000		\$3,500	09-25-2006	
CN2006-4SA	1	\$2,500	\$2,500		\$1,750	09-25-2006	
CN2006-5SI	1	\$1,000	\$1,000		\$700	09-25-2006	
CN2006-6HMT	1	\$5,000	\$5,000		\$3,500	09-25-2006	
CN2006-7HMT	1	\$4,000	\$4,000		\$2,800	09-25-2006	
CN2006-8HMT	1	\$4,000	\$4,000		\$2,800	09-25-2006	
CN2006-9HMT	1	\$5,000	\$5,000		\$3,500	09-25-2006	
CNTP2005-1SA	1	\$2,500	\$2,500		\$1,700	09-29-2006	
CNTP2005-2FCS	1	\$2,000	\$2,000		\$1,400	09-29-2006	
CNTP2005-3SA	1	\$2,500	\$2,500		\$1,700	09-29-2006	
CORP2004-3LI	1	\$2,500	\$2,500		\$1,500	01-30-2006	
CORP2004-4SA	2	\$7,500	\$7,500		\$5,250	01-30-2006	
CORP2004-5SA	2	\$5,000	\$5,000		\$3,250	01-30-2006	
CORP2004-6SA	5	\$20,000	\$20,000		\$13,000	01-30-2006	
CORP2004-7ROP	3	\$12,000	\$12,000		\$7,050	01-30-2006	
CORP2004-8FCS	1	\$2,500	\$2,500		\$1,625	01-30-2006	
CORP2005-1TS	2	\$5,000	\$5,000		\$3,250	04-19-2006	
CORP2005-2SA	1	\$5,000	\$5,000		\$3,250	04-19-2006	
CORP2005-3TS	2	\$7,000	\$7,000		\$4,550	04-19-2006	
CORP2005-4TS	2	\$7,500	\$7,500		\$4,875	04-19-2006	
CORP2005-5LI	1	\$5,000	\$5,000		\$3,000	04-19-2006	
CORP2005-6RW	1	\$5,000	\$5,000		\$3,250	04-19-2006	
CORP2005-7TS	3	\$10,000	\$10,000		\$6,500	04-19-2006	
CORP2005-8ROR	1	\$5,000	\$5,000		\$3,500	04-19-2006	
CORP2005-9TS	2	\$7,500	\$7,500		\$4,875	04-19-2006	
CORP2005-10SA	1	\$5,000	\$5,000		\$3,000	04-19-2006	
CORP2005-11SA	2	\$7,500	\$7,500		\$4,875	04-19-2006	
CORP2005-12SA	1	\$2,500	\$2,500		\$1,625	04-19-2006	
CORP2005-13TS	3	\$12,500	\$12,500		\$7,500	04-19-2006	
CORP2005-14TS	3	\$7,000	\$7,000		\$4,200	04-19-2006	

CORP2005-15TS	3	\$12,500	\$12,500	\$7,750	04-19-2006	
CORP2005-16TS	9	\$23,000	\$23,000	\$13,800	04-19-2006	
CORP2005-17TS	5	\$25,000	\$25,000	\$15,000	04-19-2006	
CORP2005-19TS	3	\$11,000	\$11,000	\$6,800	04-19-2006	
CORP2005-20SI	1	\$2,500	\$2,500	\$1,750	04-19-2006	
CORP2005-21TS	2	\$5,000	\$5,000	\$3,000	04-19-2006	
CORP2005-22TS	2	\$5,000	\$5,000	\$3,000	04-19-2006	
CORP2005-23TS	1	\$5,000	\$5,000	\$3,000	04-19-2006	
CP2002-7TS	2	\$27,000	\$0	\$0	08-29-2006	TERMINATED

VIOLATION

Date:12/18/06

Page No.: 11

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
CP2002-11TS	19	\$19,000	\$19,000	\$15,200	08-29-2006	(S): 1,2
CP2002-17TS	79	\$395,000	\$130,000	\$91,250	08-29-2006	TERMINATED VIOLATION (S): 22-27 , 33-79
CP2005-9HMT	2	\$8,500	\$8,500	\$7,000	09-26-2006	
CP2005-10HS	1	\$1,000	\$1,000	\$670	09-26-2006	
CP2005-11TS	3	\$7,500	\$7,500	\$6,750	09-26-2006	
CP2005-12HMT	2	\$4,000	\$4,000	\$2,600	09-26-2006	
CP2005-13TS	1	\$2,500	\$2,500	\$1,700	09-26-2006	
CP2005-14HS	2	\$2,000	\$2,000	\$1,400	09-26-2006	
CP2005-16TS	1	\$5,000	\$5,000	\$3,750	09-26-2006	
CP2005-17HMT	2	\$15,000	\$4,000	\$3,500	09-26-2006	TERMINATED VIOLATION (S): 2
CP2006-1RW	1	\$3,000	\$0	\$0	09-26-2006	TERMINATED VIOLATION (S): 1
CP2006-2SI	1	\$5,000	\$5,000	\$5,000	09-26-2006	
CP2006-3HS	9	\$9,000	\$9,000	\$7,650	09-26-2006	
CP2006-4GC	1	\$5,000	\$5,000	\$3,600	09-26-2006	
CP2006-5HMT	1	\$5,000	\$5,000	\$3,250	09-26-2006	
CP2006-6FCS	1	\$5,000	\$5,000	\$3,600	09-26-2006	
CP2006-7GC	1	\$5,000	\$5,000	\$3,600	09-26-2006	
CP2006-8SA	1	\$5,000	\$5,000	\$3,600	09-26-2006	
CP2006-9AD	1	\$3,000	\$3,000	\$550	09-26-2006	
CP2006-10SA	1	\$2,500	\$2,500	\$1,800	09-26-2006	
CP2006-11ROP	1	\$5,000	\$5,000	\$3,250	09-26-2006	
CP2006-12LI	1	\$2,500	\$2,500	\$1,800	09-26-2006	
CP2006-13SA	1	\$2,500	\$2,500	\$1,800	09-26-2006	
CP2006-14RSP	1	\$5,000	\$5,000	\$3,600	09-26-2006	
CP2006-15AR	1	\$2,500	\$2,500	\$2,050	09-26-2006	
CR2005-4LI	2	\$2,000	\$2,000	\$1,200	09-21-2006	
CR2005-5TS	1	\$5,000	\$5,000	\$3,250	09-21-2006	
CR2005-6GC	2	\$5,000	\$5,000	\$4,500	09-21-2006	
CR2005-7SA	3	\$10,000	\$10,000	\$8,250	09-21-2006	
CR2005-8HMT	1	\$3,000	\$3,000	\$1,950	09-21-2006	
CR2005-9HMT	1	\$3,000	\$3,000	\$2,100	09-21-2006	
CR2005-10HMT	1	\$3,000	\$3,000	\$2,100	09-21-2006	
CR2005-11LI	3	\$10,000	\$10,000	\$6,200	09-21-2006	



CR2005-12TS	3	\$6,000	\$6,000	\$4,500	09-21-2006
CR2005-13LI	1	\$2,000	\$2,000	\$1,300	09-21-2006
CR2005-14LI	1	\$1,000	\$1,000	\$650	09-21-2006
CR2006-1SA	1	\$5,000	\$5,000	\$3,250	09-21-2006
CR2006-2LI	1	\$3,500	\$3,500	\$2,900	09-21-2006
CR2006-3SA	1	\$2,500	\$2,500	\$2,250	09-21-2006
CR2006-4HMT	1	\$2,000	\$2,000	\$1,250	09-21-2006
CR2006-5SA	1	\$2,500	\$2,500	\$1,625	09-21-2006
CR2006-6RW	1	\$5,000	\$5,000	\$3,600	09-21-2006
CRL2004-1EQ	1	\$1,000	\$1,000	\$1,000	08-11-2006
CRL2005-1AR	1	\$2,500	\$2,500	\$1,625	08-11-2006

Date:12/18/06

Page No.: 12

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
CRL2005-2AD	1	\$2,000	\$2,000	\$2,000	08-11-2006	
CRL2005-3AR	2	\$5,000	\$5,000	\$4,325	08-11-2006	
CRL2005-4AR	3	\$7,500	\$7,500	\$4,500	08-11-2006	
CRL2006-1ROR	1	\$5,000	\$5,000	\$2,500	08-11-2006	
CRL2006-3ROP	1	\$5,000	\$5,000	\$3,250	08-11-2006	
CRL2006-4AD	2	\$3,500	\$3,500	\$1,800	08-11-2006	
CRL2006-5SA	2	\$10,000	\$10,000	\$6,000	08-11-2006	
CRRX2005-1TS	4	\$8,000	\$8,000	\$6,000	11-03-2005	
CSS2004-1REM	1	\$5,000	\$5,000	\$3,500	03-02-2006	
CSX2004-120LI	8	\$27,500	\$27,500	\$18,575	09-29-2006	
CSX2004-122SA	7	\$25,000	\$20,000	\$12,750	09-29-2006	TERMINATED VIOLATION (S): 1,6
CSX2004-153FCS	5	\$12,500	\$7,500	\$4,950	09-29-2006	TERMINATED VIOLATION (S): 1,2
CSX2004-193FCS	5	\$20,000	\$20,000	\$13,250	09-29-2006	
CSX2004-197HMT	1	\$2,500	\$2,500	\$1,600	09-29-2006	
CSX2004-207SI	2	\$10,000	\$10,000	\$6,800	09-29-2006	
CSX2005-5SA	6	\$27,500	\$27,500	\$18,000	09-29-2006	
CSX2005-15SA	4	\$27,500	\$27,500	\$18,000	09-29-2006	
CSX2005-17GC	1	\$2,500	\$2,500	\$1,600	09-29-2006	
CSX2005-19HMT	1	\$2,500	\$2,500	\$1,600	09-29-2006	
CSX2005-22HMT	1	\$6,000	\$1,000	\$750	09-29-2006	VIOLATION# 1: PARTIAL - \$5000 T RANSMITTAL ERROR
CSX2005-25AR	1	\$2,500	\$2,500	\$1,600	09-29-2006	
CSX2005-27SI	1	\$1,000	\$1,000	\$700	09-29-2006	
CSX2005-28RW	1	\$1,000	\$1,000	\$670	09-29-2006	
CSX2005-31TS	1	\$5,000	\$5,000	\$3,250	09-29-2006	
CSX2005-33FCS	3	\$7,500	\$7,500	\$4,875	09-29-2006	
CSX2005-35HMT	17	\$71,000	\$66,000	\$39,950	09-29-2006	TERMINATED VIOLATION (S): 17
CSX2005-36AR	1	\$2,500	\$2,500	\$1,600	09-29-2006	
CSX2005-37SA	7	\$37,500	\$35,000	\$22,850	09-29-2006	TERMINATED VIOLATION (S): PARTI

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CSX2005-40HMT	2	\$13,500	\$13,500	\$8,850	09-29-2006
CSX2005-41HMT	1	\$6,000	\$6,000	\$4,000	09-29-2006
CSX2005-42SA	1	\$5,000	\$5,000	\$3,250	09-29-2006
CSX2005-44GC	3	\$12,500	\$12,500	\$8,500	09-29-2006
CSX2005-45SI	3	\$3,000	\$3,000	\$2,200	09-29-2006
CSX2005-46HMT	1	\$5,000	\$5,000	\$3,250	09-29-2006
CSX2005-48HS	5	\$5,000	\$5,000	\$3,300	09-29-2006
CSX2005-50AR	1	\$2,500	\$2,500	\$1,600	09-29-2006
CSX2005-52HSR	1	\$1,000	\$1,000	\$640	09-29-2006
CSX2005-53SA	2	\$7,500	\$7,500	\$5,000	09-29-2006

Date:12/18/06

Page No.: 13

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Settlement/Final Assessment	Comments
CSX2005-58HMT	1	\$7,500	\$7,500	\$4,800	09-29-2006		
CSX2005-59SA	9	\$30,000	\$27,500	\$17,750	09-29-2006		TERMINATED VIOLATION (S): 6
CSX2005-60SA	10	\$32,500	\$32,500	\$21,000	09-29-2006		
CSX2005-61SA	9	\$30,000	\$30,000	\$19,900	09-29-2006		
CSX2005-62TS	14	\$70,000	\$70,000	\$32,450	09-29-2006		
CSX2005-64SA	1	\$2,500	\$2,500	\$1,625	09-29-2006		
CSX2005-65FCS	1	\$5,000	\$5,000	\$3,250	09-29-2006		
CSX2005-67FCS	3	\$7,500	\$7,500	\$4,900	09-29-2006		
CSX2005-69FCS	2	\$5,000	\$5,000	\$3,400	09-29-2006		
CSX2005-71ROR	1	\$5,000	\$5,000	\$3,200	09-29-2006		
CSX2005-73FCS	1	\$5,000	\$5,000	\$3,400	09-29-2006		
CSX2005-76SA	3	\$12,500	\$12,500	\$8,100	09-29-2006		
CSX2005-77SA	3	\$10,000	\$10,000	\$6,500	09-29-2006		
CSX2005-78SA	1	\$2,500	\$2,500	\$1,625	09-29-2006		
CSX2005-79SA	4	\$20,000	\$20,000	\$12,900	09-29-2006		
CSX2005-80SA	5	\$25,000	\$25,000	\$16,150	09-29-2006		
CSX2005-81SA	5	\$25,000	\$25,000	\$16,500	09-29-2006		
CSX2005-82HMT	1	\$6,000	\$6,000	\$3,800	09-29-2006		
CSX2005-84SI	2	\$5,000	\$5,000	\$3,600	09-29-2006		
CSX2005-85AR	5	\$12,500	\$10,000	\$6,400	09-29-2006		TERMINATED VIOLATION (S): 4
CSX2005-86HSR	4	\$4,000	\$4,000	\$2,580	09-29-2006		
CSX2005-89SA	1	\$5,000	\$5,000	\$1,500	09-29-2006		
CSX2005-92RW	1	\$1,000	\$1,000	\$665	09-29-2006		
CSX2005-94LI	12	\$32,500	\$32,500	\$21,925	09-29-2006		
CSX2005-97AR	2	\$5,000	\$2,500	\$1,600	09-29-2006		TERMINATED VIOLATION (S): 2
CSX2005-98GC	1	\$1,000	\$1,000	\$600	09-29-2006		
CSX2005-99SI	1	\$1,000	\$1,000	\$700	09-29-2006		
CSX2005-100HMT	3	\$22,500	\$22,500	\$14,625	09-29-2006		
CSX2005-101GC	3	\$12,500	\$12,500	\$8,000	09-29-2006		
CSX2005-102TS	5	\$22,500	\$22,500	\$15,250	09-29-2006		
CSX2005-103TS	2	\$7,500	\$7,500	\$5,250	09-29-2006		
CSX2005-104TS	2	\$10,000	\$10,000	\$6,175	09-29-2006		
CSX2005-105TS	6	\$17,500	\$17,500	\$11,750	09-29-2006		

CSX2005-106GC	1	\$2,500	\$2,500	\$1,600	09-29-2006	
CSX2005-107HMT	1	\$5,000	\$5,000	\$3,250	09-29-2006	
CSX2005-108HMT	5	\$5,000	\$5,000	\$3,400	09-29-2006	
CSX2005-109HMT	4	\$28,500	\$28,500	\$17,825	09-29-2006	
CSX2005-110HMT	5	\$5,000	\$5,000	\$3,400	09-29-2006	
CSX2005-111HMT	3	\$13,000	\$10,000	\$6,700	09-29-2006	TERMINATED VIOLATION (S): PARTI AL TERMINA TION OF #2 BY \$3000
CSX2005-112HMT	2	\$10,500	\$10,500	\$7,100	09-29-2006	
CSX2005-113HMT	3	\$18,500	\$18,500	\$11,850	09-29-2006	

Date:12/18/06

Page No.: 14

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
CSX2005-114FCS	2	\$4,500	\$4,500	\$3,000	09-29-2006	
CSX2005-115HSR	2	\$2,000	\$2,000	\$1,250	09-29-2006	
CSX2005-116HS	1	\$1,000	\$1,000	\$640	09-29-2006	
CSX2005-117AR	1	\$2,500	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
CSX2005-118TS	1	\$5,000	\$5,000	\$3,250	09-29-2006	
CSX2005-119SA	5	\$17,500	\$17,500	\$11,350	09-29-2006	
CSX2005-120TS	5	\$25,000	\$25,000	\$16,650	09-29-2006	
CSX2005-121TS	4	\$17,500	\$17,500	\$11,500	09-29-2006	
CSX2005-122TS	2	\$10,000	\$10,000	\$7,000	09-29-2006	
CSX2005-123ROR	19	\$95,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1 THR OUGH 19
CSX2005-124LI	4	\$14,000	\$14,000	\$9,500	09-29-2006	
CSX2005-125HMT	1	\$4,000	\$4,000	\$2,650	09-29-2006	
CSX2005-126RW	2	\$2,000	\$2,000	\$1,315	09-29-2006	
CSX2005-127HMT	1	\$4,000	\$4,000	\$2,650	09-29-2006	
CSX2005-128HMT	1	\$5,000	\$5,000	\$3,050	09-29-2006	
CSX2005-129GC	2	\$3,500	\$3,500	\$2,200	09-29-2006	
CSX2005-130AR	4	\$10,000	\$7,500	\$4,700	09-29-2006	TERMINATED VIOLATION (S): 4
CSX2005-131HMT	1	\$2,000	\$2,000	\$1,300	09-29-2006	
CSX2005-132FCS	3	\$7,500	\$7,500	\$5,000	09-29-2006	
CSX2005-134SA	5	\$20,000	\$20,000	\$13,050	09-29-2006	
CSX2005-135LI	7	\$30,000	\$30,000	\$19,900	09-29-2006	
CSX2005-136HMT	2	\$22,500	\$10,000	\$6,500	09-29-2006	TERMINATED VIOLATION (S): PARTI AL TERMINA TION OF #2 - \$12,500 TRANSMITT AL ERROR
CSX2005-137SA	9	\$35,000	\$35,000	\$21,650	09-29-2006	
CSX2005-138SA	5	\$17,500	\$17,500	\$11,400	09-29-2006	

CSX2005-139RW	1	\$1,000	\$1,000	\$670	09-29-2006	
CSX2005-140RW	1	\$1,000	\$1,000	\$650	09-29-2006	
CSX2005-141ROP	1	\$5,000	\$5,000	\$3,200	09-29-2006	
CSX2005-142FCS	1	\$2,500	\$2,500	\$1,500	09-29-2006	
CSX2005-143FCS	2	\$5,000	\$5,000	\$3,350	09-29-2006	
CSX2005-144FCS	1	\$2,500	\$2,500	\$1,550	09-29-2006	
CSX2005-145FCS	2	\$5,000	\$5,000	\$3,400	09-29-2006	
CSX2005-146FCS	2	\$5,000	\$5,000	\$3,350	09-29-2006	
CSX2005-147FCS	3	\$12,500	\$10,000	\$6,800	09-29-2006	TERMINATED VIOLATION (S): 3
CSX2005-148FCS	4	\$17,500	\$17,500	\$11,600	09-29-2006	
CSX2005-149SA	8	\$32,500	\$30,000	\$18,825	09-29-2006	TERMINATED VIOLATION

Date:12/18/06

Page No.: 15

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date	Comments
						(S): PARTI AL TERMINA TION #1
CSX2005-150FCS	3	\$7,500	\$7,500	\$5,050	09-29-2006	
CSX2005-151HMT	1	\$5,000	\$5,000	\$3,300	09-29-2006	
CSX2005-152SA	4	\$8,000	\$8,000	\$4,200	09-29-2006	
CSX2005-153SA	7	\$29,000	\$27,000	\$17,470	09-29-2006	TERMINATED VIOLATION (S):2
CSX2005-154HSR	2	\$2,000	\$2,000	\$1,280	09-29-2006	
CSX2005-155HS	3	\$3,000	\$3,000	\$1,910	09-29-2006	
CSX2005-156AR	4	\$10,000	\$7,500	\$4,700	09-29-2006	TERMINATED VIOLATION (S): 2
CSX2005-157SA	4	\$12,500	\$12,500	\$8,220	09-29-2006	
CSX2005-158SA	4	\$17,500	\$17,500	\$11,100	09-29-2006	
CSX2005-159HSR	1	\$1,000	\$1,000	\$630	09-29-2006	
CSX2005-160SI	1	\$1,000	\$1,000	\$650	09-29-2006	
CSX2005-161HMT	1	\$4,000	\$4,000	\$2,650	09-29-2006	
CSX2005-162HMT	1	\$4,000	\$4,000	\$2,100	09-29-2006	
CSX2005-163TS	2	\$10,000	\$10,000	\$7,100	09-29-2006	
CSX2005-164TS	6	\$26,000	\$26,000	\$17,900	09-29-2006	
CSX2005-165LI	6	\$15,500	\$15,500	\$10,550	09-29-2006	
CSX2005-166LI	7	\$18,500	\$18,500	\$12,475	09-29-2006	
CSX2005-167HMT	3	\$18,500	\$18,500	\$11,850	09-29-2006	
CSX2005-168AR	8	\$20,000	\$20,000	\$12,800	09-29-2006	
CSX2005-169SA	2	\$7,000	\$5,000	\$3,500	09-29-2006	TERMINATED VIOLATION (S): 2
CSX2005-170SI	1	\$1,000	\$1,000	\$700	09-29-2006	
CSX2005-171GC	2	\$7,500	\$7,500	\$4,850	09-29-2006	
CSX2005-172GC	3	\$11,000	\$11,000	\$7,120	09-29-2006	
CSX2005-173HMT	1	\$4,000	\$4,000	\$2,200	09-29-2006	
CSX2005-174SI	7	\$42,500	\$42,500	\$29,000	09-29-2006	
CSX2005-175SA	3	\$7,500	\$7,500	\$4,825	09-29-2006	
CSX2005-176RSP	2	\$2,000	\$2,000	\$1,300	09-29-2006	
CSX2005-177LI	2	\$4,500	\$4,500	\$2,925	09-29-2006	

CSX2005-178RW	1	\$1,000	\$1,000	\$650	09-29-2006
CSX2005-179SA	6	\$30,000	\$30,000	\$18,575	09-29-2006
CSX2005-180SA	6	\$30,000	\$30,000	\$19,200	09-29-2006
CSX2005-181TS	2	\$10,000	\$10,000	\$6,700	09-29-2006
CSX2005-182RW	1	\$2,000	\$1,000	\$975	09-29-2006
CSX2005-183HS	7	\$7,000	\$7,000	\$4,500	09-29-2006
CSX2005-185RSP	1	\$1,000	\$1,000	\$630	09-29-2006
CSX2005-186TS	6	\$27,500	\$27,500	\$18,900	09-29-2006
CSX2005-187TS	2	\$6,000	\$6,000	\$4,250	09-29-2006
CSX2005-188TS	9	\$45,000	\$45,000	\$32,300	09-29-2006
CSX2005-189FCS	1	\$5,000	\$5,000	\$3,350	09-29-2006
CSX2005-190RW	1	\$1,000	\$1,000	\$665	09-29-2006
CSX2005-191LI	6	\$15,000	\$15,000	\$8,400	09-29-2006
CSX2005-192SA	1	\$2,500	\$2,500	\$1,575	09-29-2006
CSX2005-193GC	1	\$1,000	\$1,000	\$600	09-29-2006

Date:12/18/06

Page No.: 16

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Settlement/Final Assessment Comments
CSX2005-194GC	6	\$9,000	\$6,500	\$4,180	09-29-2006	TERMINATED VIOLATION (S): 6
CSX2005-195GC	1	\$1,000	\$1,000	\$640	09-29-2006	
CSX2005-196HMT	2	\$7,500	\$7,500	\$5,300	09-29-2006	
CSX2005-197HMT	6	\$45,000	\$45,000	\$29,250	09-29-2006	
CSX2005-198HMT	2	\$11,500	\$11,500	\$8,000	09-29-2006	
CSX2005-199GC	1	\$5,000	\$5,000	\$3,250	09-29-2006	
CSX2005-200FCS	1	\$2,500	\$2,500	\$1,625	09-29-2006	
CSX2005-201TS	1	\$5,000	\$5,000	\$3,500	09-29-2006	
CSX2005-202TS	1	\$5,000	\$5,000	\$3,600	09-29-2006	
CSX2005-203HMT	4	\$20,500	\$17,500	\$13,250	09-29-2006	PARTIALLY TERMINATED VIOLATION (S): #1 (A SSESSED I N ERROR AS \$4000 INS TEAD OF \$1 000
CSX2005-204HMT	3	\$16,500	\$15,000	\$9,750	09-29-2006	PARTIALLY TERMINATED VIOLATION (S): #3 (A SSESSED IN ERROR AS \$4000 INST EAD OF \$25 00)
CSX2005-205HMT	1	\$7,500	\$7,500	\$4,900	09-29-2006	
CSX2005-206SI	1	\$2,500	\$2,500	\$1,000	09-29-2006	
CSX2005-207SA	3	\$12,500	\$10,000	\$6,425	09-29-2006	TERMINATED VIOLATION (S): 1
CSX2005-208HMT	1	\$7,500	\$7,500	\$5,100	09-29-2006	
CSX2005-210FCS	4	\$10,000	\$10,000	\$6,500	09-29-2006	

CSX2005-211SA	3	\$7,500	\$7,500	\$4,800	09-29-2006	
CSX2005-212HS	3	\$3,000	\$3,000	\$1,900	09-29-2006	
CSX2005-213HSR	2	\$2,000	\$2,000	\$1,270	09-29-2006	
CSX2005-214AR	2	\$5,000	\$5,000	\$3,150	09-29-2006	
CSX2005-215FCS	1	\$2,500	\$2,500	\$1,650	09-29-2006	
CSX2005-216TS	1	\$5,000	\$5,000	\$3,400	09-29-2006	
CSX2005-217HMT	2	\$11,500	\$11,500	\$7,500	09-29-2006	
CSX2005-218GC	1	\$1,000	\$1,000	\$600	09-29-2006	
CSX2005-219ROP	1	\$5,000	\$5,000	\$3,250	09-29-2006	
CSX2005-220TS	2	\$5,000	\$5,000	\$3,325	09-29-2006	
CSX2005-221HMT	2	\$13,500	\$13,500	\$8,775	09-29-2006	
CSX2005-222ROP	1	\$5,000	\$5,000	\$3,250	09-29-2006	
CSX2005-223FCS	1	\$2,500	\$2,500	\$1,675	09-29-2006	
CSX2005-224SA	7	\$20,000	\$15,000	\$9,600	09-29-2006	TERMINATED VIOLATION (S): 6,7

Date:12/18/06

Page No.: 17

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date	Comments
CSX2005-225SA	6	\$27,500	\$27,500	\$18,000	09-29-2006	
CSX2005-226SA	7	\$25,000	\$25,000	\$16,000	09-29-2006	
CSX2005-227AR	10	\$25,000	\$25,000	\$16,000	09-29-2006	
CSX2005-228AR	10	\$25,000	\$25,000	\$16,000	09-29-2006	
CSX2005-229AR	4	\$8,500	\$8,500	\$5,500	09-29-2006	
CSX2005-230HS	2	\$2,000	\$2,000	\$1,280	09-29-2006	
CSX2005-231SA	7	\$27,500	\$22,500	\$13,900	09-29-2006	TERMINATED VIOLATION (S): 1
CSX2005-232SA	6	\$20,000	\$20,000	\$13,250	09-29-2006	
CSX2005-233SA	6	\$17,500	\$17,500	\$11,000	09-29-2006	
CSX2005-234ROR	1	\$5,000	\$5,000	\$3,200	09-29-2006	
CSX2005-235FCS	6	\$15,000	\$15,000	\$10,000	09-29-2006	
CSX2005-236FCS	7	\$16,000	\$16,000	\$10,500	09-29-2006	
CSX2005-238FCS	3	\$12,500	\$12,500	\$8,400	09-29-2006	
CSX2005-239FCS	3	\$10,000	\$10,000	\$6,700	09-29-2006	
CSX2005-240FCS	1	\$5,000	\$5,000	\$3,200	09-29-2006	
CSX2005-241SA	7	\$40,000	\$40,000	\$26,000	09-29-2006	
CSX2005-243HMT	1	\$4,000	\$4,000	\$2,600	09-29-2006	
CSX2005-244FCS	2	\$5,000	\$5,000	\$3,300	09-29-2006	
CSX2005-246FCS	3	\$7,500	\$7,500	\$4,900	09-29-2006	
CSX2005-247SA	1	\$5,000	\$5,000	\$3,350	09-29-2006	
CSX2005-248RW	3	\$3,000	\$3,000	\$2,000	09-29-2006	
CSX2005-249LI	12	\$31,500	\$31,500	\$20,975	09-29-2006	
CSX2005-250RW	2	\$2,000	\$2,000	\$1,275	09-29-2006	
CSX2005-251FCS	2	\$5,000	\$5,000	\$3,350	09-29-2006	
CSX2005-252HS	3	\$3,000	\$3,000	\$1,900	09-29-2006	
CSX2005-253HSR	1	\$1,000	\$1,000	\$640	09-29-2006	
CSX2005-254SA	2	\$10,000	\$10,000	\$6,500	09-29-2006	
CSX2005-255SA	1	\$2,500	\$2,500	\$1,650	09-29-2006	
CSX2005-256TS	5	\$17,500	\$17,500	\$11,750	09-29-2006	
CSX2005-257TS	2	\$2,000	\$2,000	\$1,275	09-29-2006	
CSX2005-258LI	3	\$6,000	\$6,000	\$3,950	09-29-2006	
CSX2005-259RSP	1	\$5,000	\$5,000	\$3,325	09-29-2006	
CSX2005-260SA	2	\$7,500	\$7,500	\$4,875	09-29-2006	

CSX2005-261HMT	2	\$13,500	\$13,500	\$8,775	09-29-2006
CSX2005-262FCS	1	\$2,500	\$2,500	\$1,650	09-29-2006
CSX2005-263FCS	2	\$5,000	\$5,000	\$3,125	09-29-2006
CSX2005-264SA	1	\$2,500	\$2,500	\$1,625	09-29-2006
CSX2005-265GC	1	\$5,000	\$5,000	\$3,300	09-29-2006
CSX2005-266TS	1	\$2,500	\$2,500	\$1,700	09-29-2006
CSX2005-267TS	2	\$7,500	\$7,500	\$5,100	09-29-2006
CSX2005-268HS	3	\$3,000	\$3,000	\$1,950	09-29-2006
CSX2005-269HSR	2	\$2,000	\$2,000	\$1,280	09-29-2006
CSX2005-270AR	1	\$2,500	\$2,500	\$1,625	09-29-2006
CSX2005-273LI	3	\$9,000	\$9,000	\$6,075	09-29-2006
CSX2005-274HMT	2	\$8,000	\$8,000	\$5,575	09-29-2006
CSX2005-276SI	2	\$7,500	\$7,500	\$5,500	09-29-2006
CSX2005-277SI	1	\$2,500	\$2,500	\$1,750	09-29-2006
CSX2005-278FCS	4	\$10,000	\$10,000	\$6,600	09-29-2006
CSX2005-279LI	1	\$2,500	\$2,500	\$1,800	09-29-2006
CSX2005-280FCS	1	\$2,500	\$2,500	\$1,625	09-29-2006

Date:12/18/06

Page No.: 18

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date	Comments
CSX2005-281FCS	3	\$7,500	\$7,500	\$4,925	09-29-2006	
CSX2005-282LI	2	\$3,500	\$3,500	\$2,200	09-29-2006	
CSX2005-283RW	1	\$1,000	\$1,000	\$655	09-29-2006	
CSX2005-284HMT	1	\$1,000	\$1,000	\$800	09-29-2006	
CSX2005-285HMT	1	\$4,000	\$4,000	\$2,600	09-29-2006	
CSX2005-286SA	5	\$22,500	\$22,500	\$14,375	09-29-2006	
CSX2005-287GC	41	\$227,000	\$217,000	\$138,600	09-29-2006	TERMINATED VIOLATION (S):33,36
CSX2005-288FCS	1	\$2,500	\$2,500	\$1,650	09-29-2006	
CSX2005-289TS	3	\$15,000	\$15,000	\$10,600	09-29-2006	
CSX2005-290FCS	1	\$5,000	\$5,000	\$3,400	09-29-2006	
CSX2005-291GC	1	\$1,000	\$1,000	\$650	09-29-2006	
CSX2006-1SA	1	\$2,500	\$2,500	\$1,625	09-29-2006	
CSX2006-2SA	1	\$5,000	\$5,000	\$3,225	09-29-2006	
CSX2006-3SA	9	\$32,500	\$32,500	\$20,825	09-29-2006	
CSX2006-4RW	1	\$1,000	\$1,000	\$655	09-29-2006	
CSX2006-5LI	6	\$17,500	\$17,500	\$11,400	09-29-2006	
CSX2006-6SA	1	\$2,500	\$2,500	\$1,625	09-29-2006	
CSX2006-7HS	1	\$1,000	\$1,000	\$640	09-29-2006	
CSX2006-8AR	2	\$5,000	\$5,000	\$3,250	09-29-2006	
CSX2006-9PEP	1	\$1,000	\$1,000	\$650	09-29-2006	
CSX2006-11TS	3	\$15,000	\$15,000	\$10,300	09-29-2006	
CSX2006-12HS	8	\$8,000	\$8,000	\$5,040	09-29-2006	
CSX2006-13HSR	1	\$10,000	\$10,000	\$6,400	09-29-2006	
CSX2006-14TS	5	\$25,000	\$25,000	\$17,400	09-29-2006	
CSX2006-16HMT	2	\$7,000	\$7,000	\$4,975	09-29-2006	
CSX2006-18SA	8	\$22,500	\$22,500	\$14,100	09-29-2006	
CSX2006-19SA	6	\$17,500	\$17,500	\$11,450	09-29-2006	
CSX2006-20SA	8	\$25,000	\$25,000	\$16,225	09-29-2006	
CSX2006-21SA	7	\$25,000	\$25,000	\$16,250	09-29-2006	
CSX2006-22SI	1	\$2,500	\$2,500	\$1,750	09-29-2006	
CSX2006-23HMT	1	\$5,000	\$5,000	\$3,475	09-29-2006	
CSX2006-24HMT	2	\$14,000	\$10,000	\$7,000	09-29-2006	PARTIALLY

TERMINATED  
VIOLATION  
(S): #1,2  
(WERE OVER  
ASSESSED.  
)

CSX2006-25FCS	1	\$2,500	\$2,500	\$1,700	09-29-2006	
CSX2006-26SA	2	\$10,000	\$5,000	\$3,300	09-29-2006	TERMINATED VIOLATION (S): 2
CSX2006-27SA	2	\$7,500	\$7,500	\$4,875	09-29-2006	
CSX2006-29SA	1	\$2,500	\$2,500	\$1,625	09-29-2006	
CSX2006-30TS	2	\$10,000	\$10,000	\$7,100	09-29-2006	
CSX2006-31SA	2	\$10,000	\$10,000	\$6,500	09-29-2006	
CZRY2004-1SA	2	\$10,000	\$10,000	\$5,500	11-07-2005	
CZRY2004-2LI	1	\$5,000	\$5,000	\$2,750	11-07-2005	
CZRY2004-3SA	1	\$2,500	\$2,500	\$1,375	11-07-2005	
CZRY2005-1HSR	1	\$1,000	\$1,000	\$600	02-16-2006	

Date:12/18/06

Page No.: 19

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Comments
CZRY2005-2AD	1	\$2,500	\$2,500	\$1,500	02-16-2006	
DH2005-1FCS	2	\$5,000	\$5,000	\$3,600	09-26-2006	
DH2006-2SA	1	\$5,000	\$5,000	\$3,600	09-26-2006	
DME2001-1TS	1	\$5,000	\$5,000	\$3,250	01-30-2006	
DME2002-1GC	1	\$2,500	\$2,500	\$1,500	01-30-2006	
DME2003-1TS	1	\$5,000	\$5,000	\$3,000	01-30-2006	
DME2003-2FCS	1	\$5,000	\$5,000	\$3,000	01-30-2006	
DME2003-3TS	2	\$10,000	\$10,000	\$7,000	01-30-2006	
DME2003-4RW	1	\$3,000	\$3,000	\$2,100	01-30-2006	
DME2004-1HS	1	\$1,000	\$1,000	\$600	01-30-2006	
DME2004-2TS	3	\$3,000	\$3,000	\$2,100	01-30-2006	
DME2004-3TS	1	\$2,500	\$2,500	\$1,875	01-30-2006	
DME2005-1TS	3	\$11,000	\$11,000	\$7,750	01-30-2006	
DME2005-2TS	2	\$10,000	\$10,000	\$7,000	01-30-2006	
DME2005-3TS	2	\$10,000	\$10,000	\$7,000	01-30-2006	
DME2005-4SA	1	\$2,500	\$2,500	\$1,750	01-30-2006	
DME2005-5TS	1	\$5,000	\$5,000	\$3,750	01-30-2006	
DME2005-6TS	5	\$16,000	\$16,000	\$11,200	01-30-2006	
DME2005-7RSP	1	\$1,000	\$1,000	\$650	01-30-2006	
DME2005-8TS	2	\$5,000	\$5,000	\$3,750	01-30-2006	
DME2005-9AR	3	\$7,500	\$7,500	\$5,125	01-30-2006	
DME2005-10ROR	3	\$15,000	\$15,000	\$9,000	01-30-2006	
DME2005-11TS	1	\$2,000	\$2,000	\$1,500	01-30-2006	
DME2005-12AR	3	\$7,500	\$7,500	\$5,625	01-30-2006	
DME2005-13TS	3	\$10,000	\$10,000	\$7,500	01-30-2006	
DME2005-14TS	3	\$7,000	\$7,000	\$4,975	01-30-2006	
DME2005-15EP	1	\$1,000	\$1,000	\$650	01-30-2006	
DME2005-16TS	5	\$22,500	\$22,500	\$16,250	01-30-2006	
DMVW2003-1TS	1	\$1,000	\$1,000	\$1,000	04-18-2006	
DMVW2004-1RW	4	\$13,000	\$13,000	\$13,000	04-18-2006	
DTNC2006-1LI	1	\$2,500	\$2,500	\$2,500	08-20-2006	
EKS2005-1BW	1	\$2,500	\$2,500	\$1,500	03-10-2006	
ELS2005-1LI	2	\$7,500	\$7,500	\$7,500	01-30-2006	



ERAIL2005-1HS	3	\$3,000	\$3,000	\$3,000	01-27-2006	
FCEN2005-1LI	2	\$14,500	\$0	\$0	11-01-2005	TERMINATED VIOLATION (S): 1 - 2
FDT2005-1SI	2	\$10,000	\$10,000	\$5,300	01-23-2006	
GETV2006-1LI	1	\$5,000	\$5,000	\$5,000	09-05-2006	
GITM2003-1LI	1	\$2,500	\$2,500	\$1,250	03-01-2006	
GITM2005-1FCS	1	\$2,500	\$2,500	\$1,875	04-18-2006	
GMRC2005-1TS	1	\$2,500	\$2,500	\$2,500	01-30-2006	
GRR2006-1FCS	1	\$2,500	\$2,500	\$1,750	06-05-2006	
GWR2003-3AD	1	\$2,500	\$2,500	\$2,500	09-28-2006	
IAIS2005-1AR	4	\$10,000	\$10,000	\$6,600	02-01-2006	
IAIS2005-2HS	4	\$4,000	\$4,000	\$2,400	02-01-2006	
IAIS2005-3SA	2	\$2,000	\$2,000	\$1,300	02-01-2006	
IAIS2005-4SA	2	\$10,000	\$10,000	\$6,600	02-01-2006	
IAIS2005-5GC	1	\$2,500	\$2,500	\$2,500	01-18-2006	
IAIS2005-6HS	1	\$1,000	\$1,000	\$650	02-01-2006	
IAIS2006-2HSR	1	\$1,000	\$1,000	\$1,000	09-11-2006	
IAIS2006-3HS	3	\$3,000	\$3,000	\$3,000	09-11-2006	

Date:12/18/06

Page No.: 20

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Comments
IC2005-1LI	1	\$1,000	\$1,000	\$700	09-25-2006	
IC2005-2SA	3	\$10,000	\$10,000	\$7,000	09-25-2006	
IC2005-3LI	1	\$2,500	\$2,500	\$1,750	09-25-2006	
IC2005-4SA	4	\$17,500	\$17,500	\$12,000	09-25-2006	
IC2005-5SI	2	\$10,000	\$10,000	\$7,000	09-25-2006	
ICE2005-1SA	2	\$5,000	\$5,000	\$3,200	01-31-2006	
ICE2005-2TS	3	\$15,000	\$15,000	\$10,975	01-31-2006	
ICE2005-3TS	2	\$6,000	\$6,000	\$4,400	01-31-2006	
ICE2005-4TS	2	\$2,000	\$2,000	\$1,500	01-31-2006	
ICE2005-5SA	1	\$2,500	\$2,500	\$1,500	01-31-2006	
ICE2005-6ROR	1	\$5,000	\$5,000	\$3,200	01-31-2006	
ICE2005-7AD	1	\$2,500	\$2,500	\$1,825	01-31-2006	
IORY2006-1HMT	1	\$50,000	\$50,000	\$50,000	07-27-2006	
ISW2001-1LI	1	\$10,000	\$10,000	\$5,000	10-27-2005	
JEFW2005-2HS	3	\$3,000	\$3,000	\$3,000	02-13-2006	
JEFW2005-3HSR	3	\$3,000	\$3,000	\$3,000	02-13-2006	
KCS2003-70AR	1	\$2,500	\$2,500	\$1,000	08-16-2006	
KCS2005-10RW	1	\$1,000	\$1,000	\$900	08-16-2006	
KCS2005-21TS	2	\$10,000	\$10,000	\$7,000	08-16-2006	
KCS2005-22TS	2	\$7,500	\$7,500	\$5,000	08-16-2006	
KCS2005-24LI	2	\$8,500	\$8,500	\$4,975	08-16-2006	
KCS2005-28TS	1	\$2,500	\$2,500	\$1,500	08-16-2006	
KCS2005-29RW	1	\$4,000	\$4,000	\$3,000	08-16-2006	
KCS2005-30TS	2	\$3,500	\$3,500	\$2,200	08-16-2006	
KCS2005-31TS	3	\$12,500	\$12,500	\$8,500	08-16-2006	
KCS2005-32SA	2	\$7,500	\$7,500	\$5,150	08-16-2006	
KCS2005-33TS	14	\$34,000	\$34,000	\$20,900	08-16-2006	
KCS2005-34EQ	1	\$5,000	\$5,000	\$3,500	08-16-2006	
KCS2005-35LI	1	\$2,500	\$2,500	\$1,500	08-16-2006	
KCS2005-36LI	3	\$5,000	\$5,000	\$3,000	08-16-2006	
KCS2005-37GC	1	\$5,000	\$5,000	\$4,000	08-16-2006	
KCS2005-38SA	1	\$7,500	\$2,500	\$1,500	08-16-2006	TERMINATED

VIOLATION  
(S): 1

KCS2005-39FCS	1	\$2,500	\$2,500	\$2,200	08-16-2006
KCS2005-40SI	1	\$2,500	\$2,500	\$2,000	08-16-2006
KCS2005-41AD	4	\$17,500	\$17,500	\$10,575	08-16-2006
KCS2006-4HMT	1	\$1,000	\$1,000	\$550	08-16-2006
KCS2006-14SA	2	\$5,000	\$5,000	\$3,000	08-16-2006
KCS2006-16LI	2	\$5,000	\$5,000	\$3,000	08-16-2006
KO2004-1GC	2	\$5,000	\$5,000	\$3,200	12-02-2005
KO2005-2TS	1	\$5,000	\$5,000	\$3,600	07-28-2006
KO2005-3SA	1	\$5,000	\$5,000	\$3,400	07-28-2006
KO2005-4SA	1	\$5,000	\$5,000	\$3,000	07-28-2006
KO2005-5SA	1	\$5,000	\$5,000	\$3,000	07-28-2006
KO2005-6TS	8	\$30,000	\$30,000	\$18,500	07-28-2006
KO2005-7TS	1	\$2,500	\$2,500	\$1,500	07-28-2006
KO2005-8TS	1	\$5,000	\$5,000	\$3,000	07-28-2006
KO2006-1TS	3	\$7,000	\$7,000	\$4,760	07-28-2006
KO2006-2GC	1	\$1,000	\$1,000	\$650	07-28-2006
KO2006-3GC	1	\$1,000	\$1,000	\$650	07-28-2006
KO2006-4GC	4	\$12,500	\$12,500	\$8,250	07-28-2006

Date:12/18/06

Page No.: 21

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount Date	Comments
KO2006-5GC	3	\$7,500	\$7,500	\$4,900 07-28-2006	
KO2006-6GC	4	\$10,000	\$10,000	\$6,500 07-28-2006	
KO2006-7GC	2	\$5,000	\$5,000	\$3,250 07-28-2006	
KO2006-8SA	1	\$5,000	\$5,000	\$3,250 07-28-2006	
LI2005-1LI	1	\$6,500	\$6,500	\$4,500 05-04-2006	
LI2005-4ROP	2	\$10,000	\$10,000	\$6,500 05-04-2006	
LI2005-5TS	1	\$2,500	\$2,500	\$2,000 05-04-2006	
LIRC2006-1AR	1	\$1,000	\$1,000	\$1,000 08-02-2006	
LKP2004-1LIS	2	\$3,000	\$3,000	\$1,800 01-19-2006	
LRWN2005-1LI	1	\$2,500	\$2,500	\$1,700 05-03-2006	
LSI2004-1HSR	1	\$1,000	\$1,000	\$1,000 01-31-2006	
MACZ2006-1GC	2	\$2,000	\$2,000	\$2,000 09-06-2006	
MBCR2003-1PEQ	1	\$5,000	\$5,000	\$2,500 01-31-2006	
MBCR2003-2PEQ	4	\$20,000	\$20,000	\$10,000 01-31-2006	
MBCR2003-3LI	1	\$2,500	\$2,500	\$1,625 01-31-2006	
MBCR2004-1PEQ	1	\$5,000	\$5,000	\$3,250 01-31-2006	
MBCR2004-2RW	1	\$22,000	\$22,000	\$17,000 01-31-2006	
MBCR2004-3SI	1	\$2,500	\$2,500	\$1,625 01-31-2006	
MBCR2005-1SI	2	\$7,500	\$7,500	\$4,875 01-31-2006	
MBCR2005-2SI	1	\$2,500	\$2,500	\$1,625 01-31-2006	
MBCR2005-3ROP	2	\$10,000	\$10,000	\$6,500 01-31-2006	
MBCR2005-4ROR	5	\$20,000	\$20,000	\$13,000 01-31-2006	
MCR2004-1SA	2	\$5,000	\$5,000	\$2,500 06-09-2006	
MDT2003-1PEQ	1	\$2,500	\$2,000	\$1,750 09-06-2006	
MH2002-2LI	1	\$2,500	\$2,500	\$500 01-31-2006	
MH2002-3SA	1	\$5,000	\$5,000	\$2,000 01-31-2006	
MH2003-1SA	1	\$5,000	\$5,000	\$1,500 01-31-2006	
MMA2004-1GC	1	\$2,500	\$2,500	\$1,875 01-30-2006	
MMA2004-2GC	3	\$7,500	\$7,500	\$5,625 01-30-2006	
MMA2004-3GC	4	\$10,000	\$10,000	\$7,000 05-22-2006	
MMA2004-4GC	4	\$4,000	\$4,000	\$3,000 05-22-2006	

MMA2005-1HMT	3	\$9,000	\$9,000	\$6,000	06-14-2006	
MMA2006-1GC	1	\$2,500	\$2,500	\$2,000	05-22-2006	
MNA2003-1HS	1	\$1,000	\$1,000	\$650	02-27-2006	
MNA2004-1AD	2	\$5,000	\$5,000	\$3,500	02-27-2006	
MNCW2004-6SA	1	\$2,500	\$2,500	\$2,500	01-24-2006	
MNNR2005-1AR	1	\$2,500	\$2,500	\$1,350	05-19-2006	
MRL2004-4SA	1	\$2,500	\$2,500	\$1,500	05-11-2006	
MRL2004-5AD	6	\$12,000	\$12,000	\$7,000	05-11-2006	
MRL2005-1ROP	1	\$5,000	\$5,000	\$3,000	05-11-2006	
MRL2005-2TS	2	\$10,000	\$10,000	\$7,000	05-11-2006	
MRL2005-3TS	2	\$5,000	\$5,000	\$3,000	05-11-2006	
MRL2005-4RSP	1	\$1,000	\$1,000	\$550	05-11-2006	
MRL2005-5RSP	4	\$8,000	\$8,000	\$4,000	05-11-2006	
MRL2005-6AR	2	\$5,000	\$0	\$0	04-26-2006	TERMINATED VIOLATION (S): 1, 2
MRL2005-7RW	1	\$1,000	\$1,000	\$650	05-11-2006	
MRL2005-8EP	1	\$1,000	\$1,000	\$650	05-11-2006	
MRL2005-9TS	3	\$15,000	\$15,000	\$8,750	05-11-2006	
MRL2005-10ROP	2	\$7,000	\$7,000	\$4,500	05-11-2006	
MRRS2003-1RW	1	\$3,000	\$0	\$0	01-31-2006	TERMINATED

Date:12/18/06

Page No.: 22

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Settlement/Final Assessment Date	Comments
						VIOLATION (S): 1
MRS2005-1SA	1	\$5,000	\$5,000	\$3,000	03-01-2006	
MRSR2005-1EQ	1	\$2,500	\$2,500	\$550	09-04-2006	
MSO2001-1GC	1	\$2,500	\$2,500	\$750	02-28-2006	
MSO2001-2GC	6	\$7,500	\$7,500	\$3,000	02-28-2006	
MSO2002-1LI	1	\$10,000	\$10,000	\$3,750	02-28-2006	
MVT2005-1AD	1	\$1,000	\$1,000	\$650	04-03-2006	
NECR2005-1TS	1	\$2,500	\$2,500	\$2,000	01-18-2006	
NIRC2005-5AR	1	\$2,500	\$2,500	\$2,500	01-26-2006	
NIRC2005-6AR	1	\$2,000	\$2,000	\$2,000	01-26-2006	
NIRC2005-8PEP	2	\$10,000	\$10,000	\$6,000	01-26-2006	
NIRC2005-11PEP	1	\$5,000	\$5,000	\$3,000	01-26-2006	
NYSW2006-6SA	1	\$5,000	\$5,000	\$5,000	08-24-2006	
PAL2002-3FCS	2	\$7,500	\$7,500	\$5,666	02-27-2006	
PAL2002-6FCS	1	\$5,000	\$5,000	\$3,778	02-27-2006	
PAL2003-1SA	1	\$2,500	\$2,500	\$2,500	03-10-2006	
PAL2003-2FCS	3	\$10,000	\$10,000	\$7,555	02-27-2006	
PAL2005-3TS	1	\$1,000	\$1,000	\$1,000	04-06-2006	
PHL2003-1SA	1	\$5,000	\$5,000	\$3,600	01-26-2006	
PHL2004-1SA	1	\$5,000	\$5,000	\$3,600	01-26-2006	
PHL2005-1RSP	2	\$10,000	\$10,000	\$6,000	02-02-2006	
PLCX2000-1RW	1	\$10,000	\$0	\$0	12-20-2005	TERMINATED VIOLATION (S): 1
PNWR2002-3GC	1	\$2,500	\$2,500	\$1,400	12-14-2005	
PNWR2003-1SA	1	\$5,000	\$5,000	\$2,500	12-14-2005	
PNWR2003-2SA	1	\$5,000	\$5,000	\$2,600	12-14-2005	
PNWR2003-3TS	1	\$5,000	\$5,000	\$2,600	12-14-2005	
PNWR2003-4HSR	3	\$3,000	\$1,000	\$750	12-14-2005	TERMINATED

					VIOLATION
					(S): 2, 3
PNWR2003-5SA	3	\$15,000	\$15,000	\$7,500	12-14-2005
PNWR2003-6LI	1	\$2,500	\$2,500	\$1,250	12-14-2005
PNWR2003-8SA	1	\$2,500	\$2,500	\$1,250	12-14-2005
PNWR2004-2SA	1	\$2,500	\$2,500	\$1,500	12-14-2005
POTB2004-1SA	1	\$2,500	\$2,500	\$1,875	01-24-2006
POTB2004-3HS	11	\$11,000	\$0	\$0	02-21-2006
					TERMINATED
					VIOLATION
					(S): 1 THR
					OUGH 11
POTB2005-1TS	1	\$5,000	\$5,000	\$3,500	01-24-2006
POTB2005-2GC	2	\$5,000	\$5,000	\$3,250	01-24-2006
PTRA2002-2AD	4	\$15,000	\$15,000	\$9,750	01-23-2006
PTRA2003-2ROP	1	\$2,000	\$2,000	\$1,500	01-23-2006
PTRA2004-2HMT	1	\$5,000	\$5,000	\$3,250	01-26-2006
PTRA2005-1HMT	1	\$9,000	\$9,000	\$7,000	01-23-2006
PTRA2005-2SA	1	\$5,000	\$5,000	\$3,750	01-26-2006
PVRR2003-1ROP	1	\$2,000	\$2,000	\$1,500	02-07-2006
RBMN1998-1TS	9	\$18,000	\$0	\$0	01-17-2006
					TERMINATED
					VIOLATION
					(S): 1,2,3

Date:12/18/06

Page No.: 23

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
RBMN1998-2HS	2	\$2,000	\$0	\$0	01-17-2006	TERMINATED VIOLATION (S): 1,2,3,4,5,6,7,8,9
RBMN1998-4HS	1	\$1,000	\$0	\$0	01-17-2006	TERMINATED VIOLATION (S): 1,2
RBMN1998-6HSR	3	\$3,000	\$0	\$0	01-17-2006	TERMINATED VIOLATION (S): 1
RBMN1998-7LI	1	\$2,500	\$0	\$0	01-17-2006	TERMINATED VIOLATION (S): 1,2,3
RBMN1999-1HSR	4	\$4,000	\$0	\$0	01-17-2006	TERMINATED VIOLATION (S): 1
RBMN1999-2LI	1	\$2,500	\$0	\$0	01-17-2006	TERMINATED VIOLATION (S): 1,2,3,4
RBMN2000-1LI	2	\$10,000	\$0	\$0	01-17-2006	TERMIANTED VIOLATIN( (S): 1,2
RBMN2000-2TS	1	\$2,000	\$0	\$0	01-17-2006	TERMINATED VIOLATION (S): 1
RBMN2000-4LI	2	\$5,000	\$0	\$0	01-17-2006	TERMINATED VIOLATION

RBMN2000-5LI	1	\$2,500	\$0	\$0	01-17-2006	(S): 1,2 TERMINATED VIOLATION
RBMN2001-1LI	1	\$5,000	\$0	\$0	03-28-2006	(S): 1 TERMINATED VIOLATION
RBMN2001-2LI	2	\$7,500	\$7,500	\$2,500	05-30-2006	(S): 1
RBMN2001-3HS	2	\$2,000	\$0	\$0	02-07-2006	TERMINATED VIOLATION (S): 1,2
RBMN2001-4LI	2	\$7,500	\$7,500	\$2,500	05-30-2006	
RBMN2002-1HS	2	\$2,000	\$0	\$0	02-07-2006	TERMINATED VIOLATION (S): 1,2
RBMN2003-1AD	2	\$5,000	\$5,000	\$2,500	05-30-2006	
RBMN2004-1AD	1	\$2,500	\$2,500	\$1,250	05-30-2006	
RBMN2004-2AD	1	\$2,500	\$2,500	\$1,250	05-30-2006	
RJCM2005-1TS	1	\$2,500	\$2,500	\$2,500	12-20-2005	
RWSI2005-2BW	1	\$5,000	\$5,000	\$3,100	12-07-2005	
SEPA2005-3AR	1	\$2,500	\$2,500	\$1,825	07-06-2006	
SEPA2005-4RSP	1	\$2,500	\$2,500	\$1,750	11-04-2005	
SEPA2005-6REM	1	\$5,000	\$5,000	\$3,500	03-31-2006	

Date:12/18/06

Page No.: 24

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
SEPA2005-7REM	2	\$10,000	\$10,000	\$7,000	03-31-2006	
SEPA2005-8AR	1	\$2,500	\$2,500	\$2,000	07-06-2006	
SEPA2005-10HS	4	\$4,000	\$4,000	\$3,200	07-06-2006	
SJVR2003-2HMT	1	\$2,500	\$2,500	\$1,750	09-19-2006	
SJVR2003-3HMT	1	\$2,500	\$2,500	\$1,750	09-19-2006	
SJVR2003-4LI	1	\$1,500	\$1,500	\$1,000	09-19-2006	
SJVR2004-1GC	1	\$5,000	\$5,000	\$3,250	09-19-2006	
SJVR2004-2LI	1	\$1,500	\$1,500	\$1,000	09-19-2006	
SJVR2004-3HMT	1	\$6,000	\$6,000	\$4,000	09-19-2006	
SJVR2004-4AR	1	\$2,500	\$2,500	\$1,750	09-19-2006	
SJVR2004-5HMT	2	\$5,000	\$5,000	\$3,250	09-19-2006	
SJVR2004-6HMT	1	\$5,000	\$5,000	\$3,000	09-19-2006	
SKOL2005-1HMT	2	\$10,000	\$10,000	\$6,000	07-28-2006	
SKOL2005-2SA	2	\$10,000	\$10,000	\$6,000	07-28-2006	
SKOL2005-3TS	1	\$1,000	\$1,000	\$600	07-28-2006	
SKOL2005-4SA	2	\$7,500	\$7,500	\$5,600	07-28-2006	
SKOL2005-5ROP	1	\$5,000	\$5,000	\$3,300	07-28-2006	
SKOL2005-6FCS	2	\$4,500	\$4,500	\$2,700	07-28-2006	
SKOL2005-7FCS	1	\$5,000	\$5,000	\$3,000	07-28-2006	
SKOL2005-8TS	1	\$2,500	\$2,500	\$1,700	07-28-2006	
SKOL2006-2SA	1	\$5,000	\$5,000	\$3,000	07-28-2006	
SKOL2006-3AR	1	\$1,000	\$1,000	\$500	07-28-2006	
SKOL2006-4GC	1	\$1,000	\$1,000	\$700	07-28-2006	
SKOL2006-6GC	1	\$2,500	\$2,500	\$1,625	07-28-2006	
SLC2005-1GC	1	\$1,000	\$1,000	\$750	06-06-2006	
SOO2006-1AR	4	\$10,000	\$10,000	\$8,200	09-26-2006	
SOO2006-2AR	3	\$7,500	\$7,500	\$6,150	09-26-2006	
SOO2006-4AR	2	\$5,000	\$5,000	\$4,100	09-26-2006	

SOU2006-5AR	1	\$2,500	\$2,500	\$2,050	09-26-2006
SOU2002-109AR	1	\$5,000	\$5,000	\$2,000	09-29-2006
SOU2004-38AR	2	\$5,000	\$5,000	\$2,900	09-29-2006
SOU2004-163AR	1	\$2,500	\$2,500	\$1,575	09-29-2006
SOU2005-6HMT	6	\$22,000	\$22,000	\$11,000	09-29-2006
SOU2005-9HS	2	\$2,000	\$2,000	\$1,300	09-29-2006
SOU2005-13HMT	2	\$3,500	\$3,500	\$2,050	09-29-2006
SOU2005-45GC	1	\$2,500	\$2,500	\$2,050	09-29-2006
SOU2005-52GC	1	\$5,000	\$5,000	\$4,000	09-29-2006
SOU2005-54AR	1	\$1,000	\$1,000	\$670	09-29-2006
SOU2005-55FCS	2	\$5,000	\$5,000	\$3,500	09-29-2006
SOU2005-56RSP	1	\$5,000	\$5,000	\$3,300	09-29-2006
SOU2005-57SA	8	\$27,500	\$27,500	\$19,450	09-29-2006
SOU2005-59SA	5	\$22,500	\$22,500	\$15,750	09-29-2006
SOU2005-60SA	6	\$25,000	\$25,000	\$17,800	09-29-2006
SOU2005-61HMT	9	\$36,500	\$36,500	\$21,850	09-29-2006
SOU2005-62HMT	9	\$46,500	\$46,500	\$25,000	09-29-2006
SOU2005-63FCS	9	\$25,000	\$25,000	\$17,925	09-29-2006
SOU2005-65LI	6	\$22,500	\$22,500	\$15,675	09-29-2006
SOU2005-66HMT	5	\$14,000	\$14,000	\$9,500	09-29-2006
SOU2005-67EQ	1	\$1,000	\$1,000	\$750	09-29-2006
SOU2005-68EP	1	\$1,000	\$1,000	\$690	09-29-2006
SOU2005-69RW	5	\$5,000	\$5,000	\$3,370	09-29-2006
SOU2005-70FCS	1	\$2,500	\$2,500	\$1,725	09-29-2006

Date:12/18/06

Page No.: 25

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
SOU2005-71HSR	2	\$2,000	\$2,000	\$1,260	09-29-2006	
SOU2005-72HS	10	\$9,000	\$9,000	\$6,120	09-29-2006	
SOU2005-73RW	1	\$1,000	\$1,000	\$710	09-29-2006	
SOU2005-74SA	7	\$17,500	\$17,500	\$11,900	09-29-2006	
SOU2005-75SA	7	\$20,000	\$20,000	\$13,650	09-29-2006	
SOU2005-76LI	4	\$17,500	\$17,500	\$12,075	09-29-2006	
SOU2005-77ROP	1	\$5,000	\$5,000	\$3,950	09-29-2006	
SOU2005-78SA	9	\$27,500	\$27,500	\$18,900	09-29-2006	
SOU2005-79FCS	3	\$7,500	\$7,500	\$5,250	09-29-2006	
SOU2005-80SA	6	\$25,000	\$25,000	\$17,450	09-29-2006	
SOU2005-81FCS	1	\$2,500	\$2,500	\$1,725	09-29-2006	
SOU2005-82SA	1	\$2,500	\$2,500	\$1,750	09-29-2006	
SOU2005-85GC	1	\$5,000	\$5,000	\$4,000	09-29-2006	
SOU2005-86SA	3	\$7,500	\$7,500	\$5,200	09-29-2006	
SOU2005-88LI	3	\$17,000	\$17,000	\$11,930	09-29-2006	
SOU2005-89SA	1	\$7,500	\$7,500	\$5,025	09-29-2006	
SOU2005-90HMT	2	\$10,000	\$10,000	\$8,000	09-29-2006	
SOU2005-92HMT	2	\$15,000	\$15,000	\$9,350	09-29-2006	
SOU2005-93TS	4	\$10,000	\$10,000	\$7,475	09-29-2006	
SOU2005-94TS	2	\$10,000	\$10,000	\$7,500	09-29-2006	
SOU2005-95TS	2	\$10,000	\$10,000	\$7,625	09-29-2006	
SOU2005-96FCS	2	\$5,000	\$5,000	\$3,600	09-29-2006	
SOU2005-97FCS	3	\$7,500	\$7,500	\$5,250	09-29-2006	
SOU2005-98TS	1	\$2,500	\$2,500	\$1,900	09-29-2006	
SOU2005-99LI	1	\$2,500	\$2,500	\$1,750	09-29-2006	
SOU2005-101SA	3	\$12,500	\$12,500	\$8,775	09-29-2006	
SOU2005-102HSR	1	\$1,000	\$1,000	\$600	09-29-2006	

SOU2005-103FCS	3	\$6,000	\$6,000	\$4,200	09-29-2006
SOU2005-104SA	1	\$7,500	\$7,500	\$5,250	09-29-2006
SOU2005-106HSR	1	\$1,000	\$1,000	\$600	09-29-2006
SOU2005-107FCS	3	\$7,500	\$7,500	\$5,325	09-29-2006
SOU2005-108FCS	3	\$7,500	\$7,500	\$5,325	09-29-2006
SOU2005-109FCS	3	\$7,500	\$7,500	\$5,325	09-29-2006
SOU2005-110FCS	2	\$7,500	\$7,500	\$5,325	09-29-2006
SOU2005-111FCS	3	\$7,500	\$7,500	\$5,325	09-29-2006
SOU2005-112FCS	3	\$7,500	\$7,500	\$5,325	09-29-2006
SOU2005-113SA	3	\$15,000	\$15,000	\$10,575	09-29-2006
SOU2005-114TS	1	\$5,000	\$5,000	\$3,400	09-29-2006
SOU2005-115RSP	1	\$1,000	\$1,000	\$650	09-29-2006
SOU2005-122FCS	4	\$12,500	\$12,500	\$8,825	09-29-2006
SOU2005-123FCS	2	\$5,000	\$5,000	\$3,500	09-29-2006
SOU2005-124SA	1	\$5,000	\$5,000	\$3,750	09-29-2006
SOU2005-125FCS	1	\$2,500	\$2,500	\$1,800	09-29-2006
SOU2005-126TS	1	\$2,500	\$2,500	\$1,850	09-29-2006
SOU2005-127FCS	4	\$12,500	\$12,500	\$9,000	09-29-2006
SOU2005-128SA	7	\$22,500	\$22,500	\$15,825	09-29-2006
SOU2005-129SA	6	\$17,500	\$17,500	\$12,600	09-29-2006
SOU2005-130FCS	6	\$25,000	\$25,000	\$18,000	09-29-2006
SOU2005-131HSR	3	\$3,000	\$3,000	\$1,900	09-29-2006
SOU2005-132AR	1	\$2,500	\$2,500	\$1,625	09-29-2006
SOU2005-133HS	6	\$6,000	\$6,000	\$4,080	09-29-2006
SOU2005-134SA	7	\$17,500	\$17,500	\$12,600	09-29-2006

Date:12/18/06

Page No.: 26

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
SOU2005-135FCS	3	\$7,500	\$7,500	\$5,250	09-29-2006	
SOU2005-136SA	8	\$20,000	\$20,000	\$14,400	09-29-2006	
SOU2005-137FCS	1	\$2,500	\$2,500	\$1,750	09-29-2006	
SOU2005-138SA	3	\$10,000	\$10,000	\$6,900	09-29-2006	
SOU2005-139FCS	1	\$5,000	\$5,000	\$3,750	09-29-2006	
SOU2005-140HMT	3	\$13,500	\$13,500	\$8,505	09-29-2006	
SOU2005-141RW	2	\$2,000	\$2,000	\$1,360	09-29-2006	
SOU2005-142LI	9	\$22,500	\$22,500	\$15,075	09-29-2006	
SOU2005-143FCS	2	\$5,000	\$5,000	\$3,350	09-29-2006	
SOU2005-144HS	10	\$10,000	\$10,000	\$6,800	09-29-2006	
SOU2005-145HS	10	\$10,000	\$10,000	\$6,800	09-29-2006	
SOU2005-146AR	1	\$1,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
SOU2005-147HSR	3	\$3,000	\$3,000	\$1,970	09-29-2006	
SOU2005-148SA	1	\$1,000	\$1,000	\$670	09-29-2006	
SOU2005-149SA	3	\$7,500	\$7,500	\$5,250	09-29-2006	
SOU2005-150SA	2	\$5,000	\$5,000	\$3,500	09-29-2006	
SOU2005-151SA	1	\$2,500	\$2,500	\$1,625	09-29-2006	
SOU2005-152SA	3	\$15,000	\$15,000	\$10,200	09-29-2006	
SOU2005-154TS	1	\$2,500	\$2,500	\$1,925	09-29-2006	
SOU2005-155HMT	8	\$35,500	\$35,500	\$21,300	09-29-2006	
SOU2005-156SA	5	\$17,500	\$17,500	\$12,250	09-29-2006	
SOU2005-157FCS	1	\$2,500	\$2,500	\$1,825	09-29-2006	
SOU2005-158ROP	2	\$8,000	\$8,000	\$5,320	09-29-2006	
SOU2005-159FCS	1	\$2,500	\$2,500	\$1,700	09-29-2006	

SOU2005-160FCS	3	\$7,500	\$7,500	\$5,325	09-29-2006	
SOU2005-161RW	2	\$4,000	\$4,000	\$2,880	09-29-2006	
SOU2005-162LI	4	\$16,000	\$16,000	\$11,040	09-29-2006	
SOU2005-163SA	2	\$7,500	\$7,500	\$4,950	09-29-2006	
SOU2005-164HMT	1	\$5,000	\$5,000	\$3,200	09-29-2006	
SOU2005-165FCS	1	\$5,000	\$5,000	\$3,400	09-29-2006	
SOU2005-166RSP	1	\$5,000	\$5,000	\$3,450	09-29-2006	
SOU2005-167FCS	1	\$2,500	\$2,500	\$1,775	09-29-2006	
SOU2005-168LI	2	\$3,500	\$3,500	\$2,380	09-29-2006	
SOU2005-169HMT	2	\$12,000	\$12,000	\$7,200	09-29-2006	
SOU2005-170FCS	4	\$12,500	\$12,500	\$8,750	09-29-2006	
SOU2005-171SA	2	\$10,000	\$10,000	\$6,900	09-29-2006	
SOU2005-172SA	6	\$17,500	\$17,500	\$12,250	09-29-2006	
SOU2005-173HMT	2	\$11,000	\$11,000	\$7,040	09-29-2006	
SOU2005-174AD	4	\$10,000	\$10,000	\$6,300	09-29-2006	
SOU2005-175AR	1	\$2,500	\$2,500	\$550	09-29-2006	
SOU2005-176HSR	6	\$6,000	\$6,000	\$3,840	09-29-2006	
SOU2005-177HS	8	\$8,000	\$8,000	\$5,440	09-29-2006	
SOU2005-178HSR	3	\$3,000	\$3,000	\$1,920	09-29-2006	
SOU2005-179FCS	1	\$2,500	\$2,500	\$1,825	09-29-2006	
SOU2005-180GC	1	\$5,000	\$5,000	\$4,250	09-29-2006	
SOU2005-181SA	1	\$2,500	\$2,500	\$1,600	09-29-2006	
SOU2006-1SA	3	\$15,000	\$15,000	\$10,200	09-29-2006	
SOU2006-2RW	1	\$1,000	\$1,000	\$675	09-29-2006	
SOU2006-4AR	1	\$2,500	\$0	\$0	09-29-2006	TERMINATED VIOLATION

Date:12/18/06

Page No.: 27

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
(S): 1						
SOU2006-6HMT	1	\$9,000	\$9,000	\$5,940	09-29-2006	
SOU2006-7SA	5	\$15,000	\$15,000	\$10,200	09-29-2006	
SOU2006-8SA	5	\$25,000	\$25,000	\$18,000	09-29-2006	
SOU2006-9SA	5	\$20,000	\$20,000	\$14,000	09-29-2006	
SOU2006-13SA	1	\$2,500	\$2,500	\$1,725	09-29-2006	
SOU2006-14FCS	2	\$5,000	\$5,000	\$3,400	09-29-2006	
SOU2006-15GC	1	\$5,000	\$5,000	\$4,250	09-29-2006	
SOU2006-19HMT	1	\$2,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION
(S): 1						
ST2005-1HMT	3	\$13,000	\$13,000	\$7,900	06-26-2006	
ST2005-2RSP	1	\$5,000	\$0	\$0	06-26-2006	TERMINATED VIOLATION
(S): 1						
ST2005-3ROR	3	\$15,000	\$15,000	\$7,750	06-26-2006	
ST2005-5TS	3	\$7,500	\$7,500	\$4,370	06-26-2006	
ST2005-6AD	1	\$2,500	\$2,500	\$1,250	06-26-2006	
ST2005-7SI	1	\$1,000	\$1,000	\$675	06-26-2006	
SW2005-1HMT	1	\$2,000	\$2,000	\$1,400	01-11-2006	
TASD2005-1SA	1	\$5,000	\$5,000	\$2,700	02-28-2006	
TASD2005-2TS	1	\$5,000	\$5,000	\$3,000	02-28-2006	
TCRZ2006-1GC	1	\$1,000	\$1,000	\$600	06-26-2006	
TCRZ2006-2TS	1	\$1,000	\$1,000	\$500	06-26-2006	
TIBR2005-2TS	3	\$7,000	\$7,000	\$5,000	07-28-2006	



TM2005-3LI	1	\$2,500	\$2,500	\$1,800	08-16-2006	
TM2005-4FCS	1	\$2,500	\$2,500	\$1,500	08-16-2006	
TM2005-5LI	1	\$7,500	\$7,500	\$4,700	08-16-2006	
TM2005-6TS	1	\$2,500	\$2,500	\$1,400	08-16-2006	
TM2005-7TS	2	\$5,000	\$5,000	\$2,800	08-16-2006	
TM2005-8TS	1	\$2,500	\$2,500	\$1,400	08-16-2006	
TM2005-9FCS	1	\$2,500	\$2,500	\$1,600	08-16-2006	
TM2006-1SA	1	\$2,500	\$2,500	\$1,400	08-16-2006	
TSBY2005-1SA	1	\$10,000	\$10,000	\$6,000	02-15-2006	
TXDOT2002-1TS	8	\$12,000	\$12,000	\$6,000	02-28-2006	
TZPR2006-1AD	1	\$5,000	\$5,000	\$5,000	04-11-2006	
UP2002-36AR	6	\$15,000	\$0	\$0	04-05-2006	TERMINATED VIOLATION (S): 1,2,3 ,4,5,6
UP2002-40AR	6	\$15,000	\$0	\$0	04-05-2006	TERMINATED VIOLATION (S):1,2,3, 4,5,6
UP2002-41AR	4	\$10,000	\$0	\$0	04-05-2006	TERMINATED VIOLATION (S): 1,2,3 ,4
UP2002-268AR	1	\$1,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2003-339FCS	1	\$2,500	\$2,500	\$1,900	09-29-2006	

Date:12/18/06

Page No.: 28

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2003-390AR	3	\$7,500	\$7,500	\$5,350	09-29-2006	
UP2004-29AR	1	\$2,500	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2004-217HMT	3	\$7,000	\$7,000	\$4,750	09-29-2006	
UP2004-289LI	74	\$74,000	\$74,000	\$44,000	09-29-2006	
UP2004-290EQ	1	\$2,500	\$2,500	\$1,200	09-29-2006	
UP2005-15FCS	6	\$17,500	\$17,500	\$13,600	09-29-2006	
UP2005-20TS	7	\$21,000	\$18,500	\$12,000	09-29-2006	TERMINATED VIOLATION (S): 2
UP2005-26LI	7	\$27,500	\$27,500	\$18,800	09-29-2006	
UP2005-29FCS	2	\$7,500	\$7,500	\$5,300	09-29-2006	
UP2005-32RSP	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-38LI	37	\$37,000	\$37,000	\$24,800	09-29-2006	
UP2005-43LI	2	\$4,000	\$4,000	\$3,075	09-29-2006	
UP2005-47HMT	1	\$3,000	\$3,000	\$2,250	09-29-2006	
UP2005-49EP	1	\$1,000	\$1,000	\$700	09-29-2006	
UP2005-50HMT	3	\$23,500	\$23,500	\$15,275	09-29-2006	
UP2005-51HMT	2	\$6,000	\$6,000	\$4,600	09-29-2006	
UP2005-52RSP	2	\$6,000	\$6,000	\$4,500	09-29-2006	
UP2005-54GC	2	\$2,000	\$2,000	\$1,400	09-29-2006	
UP2005-55SA	6	\$23,500	\$23,500	\$16,500	09-29-2006	
UP2005-56SA	5	\$17,500	\$17,500	\$12,750	09-29-2006	

UP2005-57SA	8	\$35,000	\$35,000	\$23,500	09-29-2006	
UP2005-58SA	9	\$27,500	\$27,500	\$18,500	09-29-2006	
UP2005-59HMT	2	\$7,000	\$7,000	\$5,250	09-29-2006	
UP2005-60TS	9	\$22,000	\$22,000	\$16,000	09-29-2006	
UP2005-62FCS	1	\$5,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-63RW	1	\$1,500	\$1,500	\$1,100	09-29-2006	
UP2005-64FCS	11	\$35,000	\$35,000	\$25,700	09-29-2006	
UP2005-65TS	3	\$8,500	\$8,500	\$6,100	09-29-2006	
UP2005-66ROP	1	\$2,500	\$2,500	\$1,250	09-29-2006	
UP2005-67REM	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-68SA	1	\$5,000	\$2,500	\$1,500	09-29-2006	
UP2005-71LI	2	\$2,000	\$2,000	\$1,500	09-29-2006	
UP2005-72FCS	2	\$7,500	\$7,500	\$6,000	09-29-2006	
UP2005-73FCS	3	\$10,000	\$10,000	\$7,750	09-29-2006	
UP2005-74SA	1	\$2,500	\$2,500	\$1,700	09-29-2006	
UP2005-76LI	8	\$38,000	\$38,000	\$25,500	09-29-2006	
UP2005-77HS	12	\$12,000	\$12,000	\$7,500	09-29-2006	
UP2005-78AR	5	\$9,500	\$6,000	\$4,800	09-29-2006	TERMINATED VIOLATION (S): 2,4
UP2005-79HMT	1	\$3,000	\$3,000	\$2,100	09-29-2006	
UP2005-80TS	10	\$19,500	\$11,500	\$8,000	09-29-2006	TERMINATED VIOLATION (S): 7,8,9 ,10
UP2005-81TS	3	\$8,500	\$8,500	\$6,500	09-29-2006	

Date:12/18/06

Page No.: 29

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2005-82FCS	4	\$10,000	\$10,000	\$7,500	09-29-2006	
UP2005-83TS	3	\$15,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1,2,3
UP2005-84TS	9	\$30,000	\$20,000	\$16,500	09-29-2006	TERMINATED VIOLATION (S): 1,2
UP2005-85TS	12	\$25,500	\$15,500	\$11,500	09-29-2006	TERMINATED VIOLATION (S): 1,2,3 ,4,5,6
UP2005-86TS	5	\$17,500	\$17,500	\$12,800	09-29-2006	
UP2005-88SA	3	\$14,500	\$7,000	\$5,500	09-29-2006	ADJUSTMENT OF PRCA F OR #1 AND 2 (NOT COM PLETE TERM INATION)
UP2005-89SA	3	\$12,500	\$10,000	\$7,125	09-29-2006	ADJUSTMENT OF PRCA
UP2005-90RW	1	\$3,000	\$3,000	\$2,100	09-29-2006	
UP2005-91TS	19	\$35,000	\$35,000	\$23,500	09-29-2006	
UP2005-92TS	3	\$7,500	\$7,500	\$5,000	09-29-2006	

UP2005-93SI	1	\$2,500	\$2,500	\$1,750	09-29-2006	
UP2005-94GC	1	\$2,500	\$2,500	\$1,750	09-29-2006	
UP2005-96TS	2	\$3,500	\$3,500	\$2,500	09-29-2006	
UP2005-97SA	3	\$12,500	\$12,500	\$8,750	09-29-2006	
UP2005-98HMT	3	\$8,500	\$8,500	\$6,000	09-29-2006	
UP2005-99REM	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-100ROP	1	\$2,000	\$2,000	\$1,500	09-29-2006	
UP2005-101FCS	1	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2005-102FCS	6	\$15,000	\$12,500	\$9,900	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-103FCS	3	\$7,500	\$7,500	\$5,600	09-29-2006	
UP2005-104FCS	2	\$5,000	\$5,000	\$1,100	09-29-2006	
UP2005-105RW	6	\$9,000	\$9,000	\$6,500	09-29-2006	
UP2005-106FCS	2	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-107FCS	4	\$12,500	\$12,500	\$9,000	09-29-2006	
UP2005-108FCS	1	\$5,000	\$2,500	\$2,000	09-29-2006	
UP2005-109ROP	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-110SA	1	\$2,500	\$2,500	\$1,500	09-29-2006	
UP2005-111FCS	2	\$7,500	\$5,000	\$4,000	09-29-2006	TERMINATED VIOLATION (S): 2
UP2005-112REM	1	\$5,000	\$5,000	\$3,250	09-29-2006	
UP2005-113ROP	1	\$2,000	\$2,000	\$1,400	09-29-2006	
UP2005-114FCS	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-115ROP	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-116TS	5	\$20,000	\$20,000	\$14,500	09-29-2006	
UP2005-117SA	4	\$15,000	\$12,500	\$8,625	09-29-2006	ADJUSTMENT OF PRCA F

Date:12/18/06

Page No.: 30

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
UP2005-118SA	3	\$7,500	\$7,500	\$5,000	09-29-2006	OR #1
UP2005-119SA	3	\$12,500	\$12,500	\$8,250	09-29-2006	
UP2005-120TS	2	\$7,500	\$7,500	\$5,600	09-29-2006	
UP2005-121TS	2	\$10,000	\$10,000	\$7,500	09-29-2006	
UP2005-122TS	3	\$12,500	\$12,500	\$8,500	09-29-2006	
UP2005-123TS	5	\$20,000	\$20,000	\$14,000	09-29-2006	
UP2005-124SA	1	\$2,500	\$2,500	\$2,000	09-29-2006	
UP2005-125TS	1	\$2,500	\$2,500	\$1,700	09-29-2006	
UP2005-126HMT	1	\$3,000	\$3,000	\$2,250	09-29-2006	
UP2005-127HMT	4	\$10,500	\$10,500	\$7,500	09-29-2006	
UP2005-128TS	2	\$7,500	\$7,500	\$4,800	09-29-2006	
UP2005-129RSP	1	\$1,000	\$1,000	\$600	09-29-2006	
UP2005-130SA	2	\$7,500	\$2,500	\$2,375	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-131FCS	1	\$2,500	\$2,500	\$1,800	09-29-2006	
UP2005-132SA	1	\$5,000	\$2,500	\$1,750	09-29-2006	ADJUSTMENT OF PRCA
UP2005-135FCS	2	\$7,500	\$7,500	\$5,500	09-29-2006	
UP2005-136ROP	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-137SA	1	\$2,500	\$2,500	\$1,750	09-29-2006	

UP2005-139FCS	2	\$7,500	\$7,500	\$5,700	09-29-2006	
UP2005-140HS	4	\$8,000	\$8,000	\$5,400	09-29-2006	
UP2005-141HSR	5	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-143FCS	3	\$15,000	\$15,000	\$12,000	09-29-2006	
UP2005-144TS	36	\$48,500	\$48,500	\$30,000	09-29-2006	
UP2005-145REM	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-146TS	2	\$7,500	\$7,500	\$5,675	09-29-2006	
UP2005-147TS	2	\$5,000	\$5,000	\$3,250	09-29-2006	
UP2005-148FCS	1	\$2,500	\$2,500	\$1,500	09-29-2006	
UP2005-150TS	2	\$6,000	\$6,000	\$4,550	09-29-2006	
UP2005-151TS	2	\$10,000	\$10,000	\$7,500	09-29-2006	
UP2005-152TS	11	\$29,500	\$29,500	\$20,500	09-29-2006	
UP2005-153TS	3	\$7,500	\$7,500	\$5,200	09-29-2006	
UP2005-154TS	3	\$8,500	\$3,500	\$2,614	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-155HMT	1	\$3,000	\$3,000	\$2,250	09-29-2006	
UP2005-156HMT	3	\$11,500	\$11,500	\$7,000	09-29-2006	
UP2005-157TS	1	\$11,000	\$11,000	\$8,100	09-29-2006	
UP2005-158HMT	3	\$15,000	\$5,000	\$3,000	09-29-2006	TERMINATED VIOLATION (S): 1, 3
UP2005-159HMT	9	\$33,000	\$29,000	\$15,000	09-29-2006	TERMINATED VIOLATION (S): 9
UP2005-160SA	9	\$25,000	\$25,000	\$17,000	09-29-2006	
UP2005-161SA	11	\$50,000	\$50,000	\$33,000	09-29-2006	
UP2005-162SA	9	\$37,500	\$32,000	\$21,000	09-29-2006	VIOLATION( S): 1, 9 (B OTH PARTIA

Date:12/18/06

Page No.: 31

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount	Date	Comments
UP2005-163HMT	1	\$5,000	\$5,000	\$3,750	09-29-2006		LLY ADJUST ED PRCA)
UP2005-164RW	1	\$3,000	\$3,000	\$2,200	09-29-2006		
UP2005-165SA	8	\$35,000	\$35,000	\$24,000	09-29-2006		
UP2005-166SA	6	\$22,500	\$22,500	\$15,500	09-29-2006		
UP2005-167SA	7	\$37,500	\$22,500	\$15,000	09-29-2006		TERMINATED VIOLATION (S):1, 3, 4, 7 AND WRON G REGULATI ON CITED: 5
UP2005-168LI	14	\$54,500	\$49,500	\$34,000	09-29-2006		
UP2005-170SA	7	\$25,000	\$25,000	\$10,000	09-29-2006		
UP2005-173HMT	1	\$7,500	\$7,500	\$5,250	09-29-2006		
UP2005-174HMT	2	\$9,000	\$9,000	\$6,300	09-29-2006		
UP2005-176TS	4	\$5,500	\$5,500	\$4,150	09-29-2006		
UP2005-177TS	6	\$26,000	\$26,000	\$18,000	09-29-2006		
UP2005-178TS	5	\$5,000	\$5,000	\$3,250	09-29-2006		
UP2005-179TS	3	\$3,000	\$3,000	\$2,000	09-29-2006		

UP2005-180TS	3	\$4,500	\$4,500	\$3,000	09-29-2006	
UP2005-181TS	2	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-182EP	7	\$10,000	\$7,000	\$6,400	09-29-2006	
UP2005-183FCS	5	\$17,500	\$12,500	\$9,600	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-184ROP	2	\$10,000	\$10,000	\$6,875	09-29-2006	
UP2005-187SA	6	\$22,500	\$20,000	\$13,000	09-29-2006	TERMINATED VIOLATION (S): PARTIAL TERMINATION 1
UP2005-188SA	5	\$20,000	\$17,500	\$11,000	09-29-2006	REDUCED PRCA FOR VIOLATION 4 FROM 5000 TO 2500
UP2005-189TS	2	\$5,000	\$5,000	\$3,400	09-29-2006	
UP2005-190HMT	3	\$11,000	\$11,000	\$7,500	09-29-2006	
UP2005-192LI	5	\$11,000	\$11,000	\$7,900	09-29-2006	
UP2005-193LI	9	\$27,500	\$25,000	\$18,400	09-29-2006	PARTIAL TERMINATION OF #2
UP2005-194RW	1	\$1,000	\$1,000	\$600	09-29-2006	
UP2005-195HS	11	\$11,000	\$11,000	\$7,500	09-29-2006	
UP2005-196FCS	2	\$7,500	\$5,000	\$4,000	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-197EQ	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-198HSR	4	\$4,000	\$4,000	\$2,250	09-29-2006	
UP2005-199HS	1	\$1,000	\$1,000	\$750	09-29-2006	

Date:12/18/06

Page No.: 32

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2005-200ROP	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-201RW	2	\$2,000	\$2,000	\$1,200	09-29-2006	
UP2005-202SI	1	\$2,500	\$2,500	\$1,750	09-29-2006	
UP2005-203GC	2	\$10,000	\$10,000	\$7,000	09-29-2006	
UP2005-204LI	2	\$7,500	\$7,500	\$5,300	09-29-2006	
UP2005-205SA	6	\$20,000	\$17,500	\$11,500	09-29-2006	ADJUSTED PRCA FROM VIOLATION 1
UP2005-206SA	7	\$27,500	\$27,500	\$18,000	09-29-2006	
UP2005-207SA	8	\$30,000	\$27,500	\$21,125	09-29-2006	ADJUSTED PRCA FROM VIOLATION 5
UP2005-208SA	1	\$5,000	\$5,000	\$2,500	09-29-2006	
UP2005-209ROP	1	\$2,000	\$2,000	\$1,500	09-29-2006	
UP2005-210FCS	4	\$17,500	\$17,500	\$13,900	09-29-2006	
UP2005-211FCS	3	\$10,000	\$5,000	\$3,900	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-212FCS	2	\$5,000	\$5,000	\$3,500	09-29-2006	

UP2005-213FCS	6	\$17,500	\$17,500	\$13,400	09-29-2006	
UP2005-214HMT	3	\$15,000	\$15,000	\$11,000	09-29-2006	
UP2005-215HMT	7	\$41,500	\$41,500	\$29,850	09-29-2006	
UP2005-216TS	1	\$1,000	\$1,000	\$750	09-29-2006	
UP2005-217GC	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-218SA	4	\$17,500	\$15,000	\$10,625	09-29-2006	REDUCED PR CA FROM VI OLATION 4
UP2005-219AR	2	\$3,500	\$3,500	\$2,250	09-29-2006	
UP2005-220HS	18	\$18,000	\$18,000	\$12,400	09-29-2006	
UP2005-221FCS	5	\$12,500	\$12,500	\$10,000	09-29-2006	
UP2005-223TS	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-224TS	2	\$7,500	\$7,500	\$5,500	09-29-2006	
UP2005-225TS	1	\$2,500	\$2,500	\$1,950	09-29-2006	
UP2005-227AR	2	\$2,000	\$2,000	\$1,400	09-29-2006	
UP2005-228HMT	1	\$5,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-229LI	5	\$15,000	\$15,000	\$10,700	09-29-2006	
UP2005-230EQ	2	\$2,000	\$2,000	\$1,500	09-29-2006	
UP2005-231RW	1	\$1,000	\$1,000	\$600	09-29-2006	
UP2005-232RSP	1	\$5,000	\$5,000	\$2,500	09-29-2006	
UP2005-233TS	1	\$5,000	\$5,000	\$3,250	09-29-2006	
UP2005-234AR	2	\$3,500	\$3,500	\$2,250	09-29-2006	
UP2005-235RW	3	\$3,000	\$3,000	\$2,000	09-29-2006	
UP2005-236SA	6	\$25,000	\$25,000	\$16,500	09-29-2006	
UP2005-237SA	6	\$22,500	\$22,500	\$15,000	09-29-2006	
UP2005-238SA	5	\$20,000	\$20,000	\$13,375	09-29-2006	
UP2005-239HS	3	\$3,000	\$3,000	\$2,100	09-29-2006	
UP2005-240ROP	1	\$5,000	\$5,000	\$3,250	09-29-2006	
UP2005-241REM	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-242TS	2	\$10,000	\$10,000	\$7,000	09-29-2006	

Date:12/18/06

Page No.: 33

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Comments
UP2005-243TS	1	\$1,000	\$1,000	\$600	09-29-2006	
UP2005-244HMT	2	\$9,000	\$9,000	\$6,300	09-29-2006	
UP2005-245HMT	2	\$10,000	\$10,000	\$7,000	09-29-2006	
UP2005-246HMT	2	\$5,000	\$5,000	\$2,500	09-29-2006	
UP2005-247REM	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-248FCS	3	\$7,500	\$7,500	\$5,500	09-29-2006	
UP2005-249HMT	2	\$5,000	\$5,000	\$2,500	09-29-2006	
UP2005-250HMT	1	\$2,500	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-251FCS	3	\$12,500	\$12,500	\$9,000	09-29-2006	
UP2005-252FCS	3	\$12,500	\$12,500	\$9,500	09-29-2006	
UP2005-253FCS	3	\$12,500	\$12,500	\$10,000	09-29-2006	
UP2005-254SA	8	\$40,000	\$40,000	\$30,000	09-29-2006	
UP2005-255SA	8	\$27,500	\$27,500	\$17,875	09-29-2006	
UP2005-256SA	8	\$25,000	\$25,000	\$16,250	09-29-2006	
UP2005-257SA	5	\$17,500	\$17,500	\$11,500	09-29-2006	
UP2005-259TS	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-260TS	1	\$5,000	\$5,000	\$3,500	09-29-2006	

UP2005-263EQ	1	\$1,000	\$1,000	\$750	09-29-2006	
UP2005-264LI	3	\$8,500	\$8,500	\$6,100	09-29-2006	
UP2005-265LI	2	\$5,000	\$5,000	\$3,800	09-29-2006	
UP2005-266LI	2	\$7,500	\$7,500	\$5,400	09-29-2006	
UP2005-267SA	4	\$17,500	\$17,500	\$11,500	09-29-2006	
UP2005-268HMT	1	\$6,000	\$6,000	\$4,500	09-29-2006	
UP2005-270FCS	5	\$22,500	\$22,500	\$16,900	09-29-2006	
UP2005-271EQ	7	\$7,000	\$7,000	\$5,600	09-29-2006	
UP2005-272FCS	2	\$5,000	\$5,000	\$3,300	09-29-2006	
UP2005-273SA	5	\$17,500	\$17,500	\$11,375	09-29-2006	
UP2005-274TS	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-275TS	4	\$17,500	\$17,500	\$12,800	09-29-2006	
UP2005-276TS	2	\$6,000	\$6,000	\$4,500	09-29-2006	
UP2005-277TS	3	\$11,000	\$11,000	\$7,500	09-29-2006	
UP2005-279HMT	1	\$7,500	\$7,500	\$5,500	09-29-2006	
UP2005-280LI	10	\$32,500	\$25,000	\$17,000	09-29-2006	
UP2005-282FCS	2	\$7,500	\$7,500	\$5,700	09-29-2006	
UP2005-284TS	4	\$16,000	\$16,000	\$11,000	09-29-2006	
UP2005-285TS	2	\$10,000	\$10,000	\$7,000	09-29-2006	
UP2005-286SA	5	\$27,500	\$25,000	\$17,400	09-29-2006	
UP2005-287HMT	1	\$3,000	\$3,000	\$2,250	09-29-2006	
UP2005-288TS	2	\$5,000	\$5,000	\$3,450	09-29-2006	
UP2005-289SA	6	\$15,000	\$15,000	\$8,000	09-29-2006	
UP2005-290SA	6	\$22,500	\$22,500	\$14,750	09-29-2006	
UP2005-291TS	2	\$4,500	\$4,500	\$3,000	09-29-2006	
UP2005-292TS	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-294SA	8	\$37,500	\$37,500	\$26,250	09-29-2006	
UP2005-295SA	5	\$20,000	\$20,000	\$13,625	09-29-2006	
UP2005-296TS	1	\$2,500	\$2,500	\$1,875	09-29-2006	
UP2005-297HMT	1	\$2,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-298HMT	1	\$5,000	\$0	\$0	09-29-2006	TERMINATED

Date:12/18/06

Page No.: 34

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment Amount	Date	Comments
UP2005-300FCS	2	\$7,500	\$7,500	\$5,600	09-29-2006	VIOLATION (S): 1
UP2005-301SI	1	\$5,000	\$5,000	\$3,850	09-29-2006	
UP2005-302LI	11	\$33,000	\$33,000	\$23,000	09-29-2006	
UP2005-304HMT	1	\$7,500	\$7,500	\$5,250	09-29-2006	
UP2005-305HS	2	\$2,000	\$2,000	\$1,500	09-29-2006	
UP2005-306FCS	1	\$2,500	\$2,500	\$1,600	09-29-2006	
UP2005-307FCS	1	\$2,500	\$2,500	\$1,700	09-29-2006	
UP2005-308FCS	1	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2005-309FCS	2	\$7,500	\$7,500	\$6,000	09-29-2006	
UP2005-310HMT	2	\$10,000	\$10,000	\$7,500	09-29-2006	
UP2005-315TS	5	\$25,000	\$25,000	\$17,100	09-29-2006	
UP2005-316SA	7	\$35,000	\$35,000	\$26,650	09-29-2006	
UP2005-317SA	5	\$25,000	\$22,500	\$16,250	09-29-2006	#3 - ONE O F THE CLAI MS WITHIN VIOLATION

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UP2005-318SA	8	\$27,500	\$27,500	\$18,850	09-29-2006	
UP2005-319AR	1	\$2,500	\$2,500	\$1,600	09-29-2006	
UP2005-320SA	3	\$15,000	\$15,000	\$11,000	09-29-2006	
UP2005-322SI	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-325SA	1	\$2,500	\$2,500	\$1,500	09-29-2006	
UP2005-329TS	13	\$13,000	\$13,000	\$9,000	09-29-2006	
UP2005-332FCS	3	\$7,500	\$7,500	\$6,000	09-29-2006	
UP2005-333FCS	1	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2005-335SA	1	\$5,000	\$5,000	\$2,750	09-29-2006	
UP2005-336SA	1	\$5,000	\$2,500	\$2,000	09-29-2006	VIOLATION #1 PENALTY REDUCED T O \$2500
UP2005-337HMT	1	\$5,000	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-339HMT	1	\$2,000	\$2,000	\$1,300	09-29-2006	
UP2005-340HMT	1	\$2,000	\$2,000	\$1,350	09-29-2006	
UP2005-341SA	1	\$2,500	\$2,500	\$1,500	09-29-2006	
UP2005-342HS	1	\$1,000	\$1,000	\$650	09-29-2006	
UP2005-344HMT	2	\$11,000	\$6,000	\$5,500	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-346SA	14	\$50,000	\$40,000	\$27,175	09-29-2006	TERMINATED VIOLATION (S): #7, P ART OF #12 , #14
UP2005-347SA	2	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2005-348SA	4	\$12,500	\$12,500	\$9,250	09-29-2006	
UP2005-349SA	2	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2005-351SA	4	\$10,000	\$10,000	\$6,250	09-29-2006	

Date:12/18/06

Page No.: 35

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2005-353SA	1	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2005-354FCS	1	\$2,500	\$2,500	\$1,700	09-29-2006	
UP2005-355SA	1	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2005-356SA	1	\$5,000	\$5,000	\$3,250	09-29-2006	
UP2005-357ROP	1	\$5,000	\$5,000	\$3,500	09-29-2006	
UP2005-358LI	6	\$14,000	\$9,000	\$6,700	09-29-2006	TERMINATED VIOLATION (S): 4,5
UP2005-359EQ	1	\$4,000	\$4,000	\$3,500	09-29-2006	
UP2005-360SA	6	\$30,000	\$25,000	\$19,500	09-29-2006	TERMINATED VIOLATION (S): 7
UP2005-361SA	1	\$2,500	\$2,500	\$1,875	09-29-2006	
UP2005-363SA	5	\$12,500	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1,2,3 ,4,5



UP2005-365SA	9	\$37,500	\$37,500	\$27,500	09-29-2006	
UP2005-366SA	6	\$20,000	\$17,500	\$11,560	09-29-2006	PENALTY FOR VIOLATION #4 HAS BEEN REASSESSED AT \$2500
UP2005-367SA	3	\$12,500	\$12,500	\$9,060	09-29-2006	
UP2005-368TS	3	\$10,000	\$10,000	\$6,500	09-29-2006	
UP2005-369TS	1	\$2,500	\$2,500	\$1,700	09-29-2006	
UP2005-372TS	4	\$17,000	\$15,000	\$12,500	09-29-2006	TERMINATED VIOLATION (S): 2
UP2005-373TS	1	\$3,000	\$3,000	\$550	09-29-2006	
UP2005-374HSR	2	\$2,000	\$2,000	\$1,300	09-29-2006	
UP2005-375HS	2	\$2,000	\$2,000	\$1,500	09-29-2006	
UP2005-376HMT	1	\$1,000	\$1,000	\$750	09-29-2006	
UP2005-377GC	1	\$2,500	\$2,500	\$1,500	09-29-2006	
UP2005-378TS	12	\$32,500	\$12,500	\$12,000	09-29-2006	TERMINATED VIOLATION (S): 5,6,7,8,9,10,11,12
UP2005-379TS	2	\$7,500	\$7,500	\$5,600	09-29-2006	
UP2005-380RSP	1	\$2,500	\$0	\$0	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-381ROR	1	\$5,000	\$0	\$0	07-14-2006	TERMINATED VIOLATION (S): 1
UP2005-382TS	3	\$3,000	\$3,000	\$2,000	09-29-2006	
UP2005-383RW	2	\$2,000	\$2,000	\$1,200	09-29-2006	
UP2005-384LI	6	\$22,500	\$22,500	\$18,900	09-29-2006	
UP2005-385FCS	1	\$2,500	\$0	\$0	09-29-2006	TERMINATED VIOLATION

Date:12/18/06

Page No.: 36

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount	Date	Comments
UP2005-386ROR	1	\$5,000	\$5,000	\$3,250	09-29-2006		(S): 1
UP2005-388FCS	4	\$12,500	\$12,500	\$9,000	09-29-2006		
UP2005-390FCS	3	\$7,500	\$7,500	\$5,125	09-29-2006		
UP2005-391SA	8	\$20,000	\$20,000	\$12,800	09-29-2006		
UP2005-392SA	8	\$30,000	\$30,000	\$22,500	09-29-2006		
UP2005-394SA	7	\$30,000	\$25,000	\$16,375	09-29-2006		REDUCED PENALTY ON 7
UP2005-395RW	1	\$1,000	\$1,000	\$600	09-29-2006		
UP2005-396TS	5	\$5,000	\$5,000	\$3,500	09-29-2006		
UP2005-397FCS	4	\$10,000	\$10,000	\$6,800	09-29-2006		
UP2005-399LI	1	\$2,500	\$2,500	\$1,700	09-29-2006		
UP2005-400SA	3	\$10,000	\$10,000	\$6,000	09-29-2006		
UP2005-402SA	5	\$15,000	\$15,000	\$9,750	09-29-2006		
UP2005-403SA	6	\$22,500	\$22,500	\$16,750	09-29-2006		
UP2005-405LI	5	\$8,000	\$8,000	\$6,200	09-29-2006		

UP2005-407RW	1	\$3,000	\$3,000	\$2,200	09-29-2006	
UP2005-410SA	3	\$10,000	\$7,500	\$5,625	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-414EQ	26	\$26,000	\$26,000	\$20,800	09-29-2006	
UP2005-415AR	1	\$2,500	\$2,500	\$1,650	09-29-2006	
UP2005-416RSP	1	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2005-417TS	2	\$10,000	\$10,000	\$7,000	09-29-2006	
UP2005-419HS	2	\$2,000	\$2,000	\$1,300	09-29-2006	
UP2005-420FCS	1	\$2,500	\$2,500	\$2,000	09-29-2006	
UP2005-421FCS	1	\$2,500	\$2,500	\$1,700	09-29-2006	
UP2005-423HMT	2	\$7,500	\$2,500	\$1,625	09-29-2006	TERMINATED VIOLATION (S): 1
UP2005-425HMT	1	\$7,500	\$7,500	\$5,250	09-29-2006	
UP2005-426FCS	1	\$2,500	\$2,500	\$1,800	09-29-2006	
UP2005-428SI	1	\$5,000	\$5,000	\$3,950	09-29-2006	
UP2005-429FCS	3	\$12,500	\$12,500	\$9,300	09-29-2006	
UP2006-3EP	1	\$1,000	\$1,000	\$700	09-29-2006	
UP2006-5SA	2	\$5,000	\$5,000	\$3,750	09-29-2006	
UP2006-7HMT	1	\$2,000	\$2,000	\$1,500	09-29-2006	
UP2006-10TS	1	\$1,000	\$1,000	\$750	09-29-2006	
UP2006-12TS	4	\$17,500	\$17,500	\$12,000	09-29-2006	
UP2006-15AR	8	\$20,000	\$15,000	\$9,750	09-29-2006	TERMINATED VIOLATION (S): 2,4
UP2006-16SA	1	\$5,000	\$5,000	\$4,000	09-29-2006	
UP2006-27SA	7	\$27,500	\$27,500	\$20,625	09-29-2006	
UP2006-29SA	8	\$22,500	\$22,500	\$15,500	09-29-2006	
UP2006-30SA	2	\$7,500	\$7,500	\$5,625	09-29-2006	
UP2006-31SA	4	\$12,500	\$12,500	\$8,750	09-29-2006	
UP2006-37AR	8	\$20,000	\$15,000	\$9,000	09-29-2006	TERMINATED VIOLATION S(S): 1,2
UP2006-40SA	1	\$5,000	\$5,000	\$4,000	09-29-2006	
UTAH2004-1FCS	1	\$5,000	\$5,000	\$5,000	01-20-2006	

Page No.: 37

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount	Date	Comments
UTAH2004-2SA	2	\$5,000	\$5,000	\$5,000	01-20-2006		
WPRR2005-2TS	1	\$2,500	\$2,500	\$2,500	11-17-2005		
WRRC2005-1LI	2	\$5,000	\$5,000	\$3,600	11-15-2005		
WSOR2003-1FCS	1	\$5,000	\$5,000	\$3,200	01-27-2006		
WTLC2003-1TS	1	\$2,500	\$2,500	\$1,500	01-26-2006		
XNAI2005-1HMT	1	\$2,000	\$2,000	\$2,000	01-23-2006		
XNAI2006-1HMT	1	\$2,000	\$2,000	\$2,000	09-13-2006		
XSNJ2005-1GC	1	\$5,000	\$5,000	\$3,200	01-24-2006		
XUSE2005-1RW	1	\$3,000	\$3,000	\$3,000	06-06-2006		
ZACH2006-1HMT	1	\$2,000	\$2,000	\$2,000	09-07-2006		
ZACH2006-2HMT	2	\$5,000	\$5,000	\$5,000	09-20-2006		
ZACQ2005-1HMT	6	\$13,500	\$13,500	\$11,000	04-11-2006		
ZAFQ2005-1HMT	1	\$2,000	\$2,000	\$1,200	03-30-2006		
ZAGT2006-2HMT	1	\$2,500	\$2,500	\$2,500	09-06-2006		
ZAKQ2006-1HMT	1	\$5,000	\$5,000	\$5,000	09-07-2006		

ZAKQ2006-2HMT	1	\$5,000	\$5,000	\$5,000	09-06-2006	
ZALRC2005-1HMT	1	\$1,000	\$1,000	\$750	12-15-2005	
ZAMU2006-1HMT	1	\$2,000	\$2,000	\$2,000	08-02-2006	
ZAPL2005-2HMT	2	\$4,500	\$4,500	\$4,500	08-08-2006	
ZATE2005-1HMT	1	\$10,000	\$10,000	\$7,000	05-16-2006	
ZAUG2006-1HMT	1	\$5,000	\$5,000	\$5,000	09-20-2006	
ZAUI2005-1HMT	1	\$10,000	\$10,000	\$9,000	03-21-2006	
ZAUL2005-1HMT	1	\$2,000	\$2,000	\$1,500	01-27-2006	
ZAUL2005-2HMT	1	\$2,000	\$2,000	\$1,500	01-27-2006	
ZAUL2006-1HMT	1	\$2,000	\$2,000	\$2,000	08-02-2006	
ZBAS2005-2HMT	3	\$20,000	\$20,000	\$20,000	08-10-2006	
ZBAS2005-3HMT	2	\$15,000	\$15,000	\$15,000	08-10-2006	
ZBMS2005-1HMT	1	\$4,000	\$4,000	\$3,000	08-10-2006	
ZBMS2005-3HMT	1	\$2,000	\$2,000	\$2,000	08-10-2006	
ZBPCE2004-1HMT	1	\$5,000	\$5,000	\$5,000	01-30-2006	
ZBPCE2004-2HMT	1	\$5,000	\$5,000	\$5,000	01-30-2006	
ZBPCE2005-1HMT	1	\$10,000	\$10,000	\$8,000	01-30-2006	
ZBPCE2005-3HMT	1	\$2,500	\$2,500	\$2,500	01-30-2006	
ZBPCE2005-4HMT	1	\$2,500	\$0	\$0	01-30-2006	TERMINATED VIOLATION (S): 1
ZBPCE2005-5HMT	1	\$2,500	\$2,500	\$1,750	01-30-2006	
ZBPCE2005-6HMT	1	\$5,000	\$0	\$0	01-30-2006	TERMINATED VIOLATION (S): 1
ZBPO2004-1HMT	1	\$10,000	\$10,000	\$8,000	01-30-2006	
ZBPPZ2005-1HMT	2	\$10,000	\$10,000	\$8,500	01-11-2006	
ZBPWC2006-1HMT	3	\$15,000	\$15,000	\$15,000	08-10-2006	
ZBTCI2005-1HMT	1	\$2,000	\$2,000	\$2,000	08-11-2006	
ZCANI2004-1HMT	1	\$6,000	\$0	\$0	02-03-2006	TERMINATED VIOLATION (S): 1
ZCCM2004-2HMT	3	\$15,000	\$15,000	\$15,000	01-26-2006	
ZCCM2004-3HMT	1	\$5,000	\$5,000	\$5,000	04-12-2006	
ZCCM2004-4HMT	1	\$10,000	\$10,000	\$7,500	02-22-2006	
ZCEF2004-1HMT	1	\$2,500	\$2,500	\$2,500	12-20-2005	
ZCEF2005-1HMT	1	\$5,000	\$5,000	\$5,000	06-13-2006	

Date:12/18/06

Page No.: 38

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount	Date	Comments
ZCELA2003-1HMT	1	\$5,000	\$5,000		\$3,250	03-15-2006	
ZCELA2004-3HMT	1	\$2,000	\$2,000		\$2,000	06-12-2006	
ZCFG2003-1HMT	1	\$7,500	\$7,500		\$4,500	03-04-2006	
ZCFG2004-2HMT	1	\$10,000	\$10,000		\$7,000	05-04-2006	
ZCHC2004-1HMT	1	\$2,000	\$2,000		\$1,300	01-30-2006	
ZCHC2005-1HMT	1	\$2,000	\$2,000		\$1,300	01-30-2006	
ZCIBS2005-1HMT	1	\$10,000	\$10,000		\$10,000	04-10-2006	
ZCII2004-1HMT	1	\$10,000	\$10,000		\$7,500	11-30-2005	
ZCLOG2004-1HMT	1	\$5,000	\$5,000		\$3,250	11-30-2005	
ZCLP2005-2HMT	1	\$10,000	\$10,000		\$7,500	04-04-2006	
ZCLU2004-1HMT	1	\$10,000	\$10,000		\$7,500	11-30-2005	
ZCMA2004-1HMT	12	\$26,000	\$26,000		\$19,500	01-24-2006	
ZCMEC2002-1HMT	2	\$12,500	\$12,000		\$8,700	04-03-2006	
ZCMR2004-1HMT	1	\$5,000	\$5,000		\$3,750	11-30-2005	

ZCNS2004-1HMT	3	\$12,500	\$12,500	\$8,125	01-30-2006	
ZCNS2005-1HMT	1	\$2,500	\$2,500	\$1,875	01-30-2006	
ZCOG2004-1HMT	1	\$5,000	\$5,000	\$3,750	01-24-2006	
ZCOX2004-1HMT	2	\$9,500	\$9,500	\$5,875	03-14-2006	
ZCPPC2003-1HMT	1	\$2,500	\$0	\$0	02-14-2006	TERMINATED VIOLATION (S): 1
ZCPPC2004-1HMT	1	\$10,000	\$10,000	\$7,000	01-31-2006	
ZCPQ2005-1HMT	1	\$2,500	\$2,500	\$2,500	06-13-2006	
ZCPR2004-1HMT	1	\$10,000	\$10,000	\$7,500	11-01-2005	
ZCPSI2004-1HMT	1	\$7,500	\$7,500	\$5,625	01-27-2006	
ZCROM2004-1HMT	2	\$10,000	\$10,000	\$5,625	01-30-2006	
ZCRP2005-1HMT	1	\$2,000	\$2,000	\$2,000	06-13-2006	
ZCSPI2005-1HMT	1	\$10,000	\$10,000	\$7,500	09-19-2006	
ZCSPI2006-1HMT	1	\$10,000	\$10,000	\$7,500	09-19-2006	
ZCT2005-1HMT	1	\$2,500	\$2,500	\$2,500	10-17-2005	
ZCTP2004-1HMT	1	\$2,000	\$2,000	\$1,500	04-12-2006	
ZDFI2004-1HMT	5	\$16,000	\$16,000	\$16,000	08-30-2006	
ZDNL2005-1HMT	1	\$2,500	\$0	\$0	04-05-2006	TERMINATED VIOLATION (S): 1
ZDTI2004-1HMT	1	\$7,500	\$7,500	\$7,500	08-30-2006	
ZEAGS2005-1HMT	1	\$7,500	\$7,500	\$4,500	02-03-2006	
ZEAS2004-2HMT	1	\$2,500	\$2,500	\$1,750	03-10-2006	
ZEAS2004-4HMT	1	\$5,000	\$5,000	\$3,750	04-03-2006	
ZECDP2005-1HMT	2	\$10,000	\$10,000	\$4,500	01-25-2006	
ZEDC2004-3HMT	1	\$2,000	\$2,000	\$1,000	04-03-2006	
ZEDC2005-1HMT	1	\$15,000	\$15,000	\$10,000	02-28-2006	
ZEKAC2003-1HMT	1	\$1,500	\$1,500	\$1,500	01-30-2006	
ZEPC2005-1HMT	1	\$7,500	\$7,500	\$7,500	01-27-2006	
ZEPC2005-2HMT	1	\$1,000	\$1,000	\$1,000	12-15-2005	
ZEQU2004-1HMT	1	\$5,000	\$5,000	\$5,000	06-26-2006	
ZEXM2004-3HMT	1	\$2,500	\$2,500	\$2,500	01-30-2006	
ZFGC2006-1HMT	2	\$6,000	\$6,000	\$6,000	09-19-2006	
ZFIR2006-1HMT	2	\$7,500	\$7,500	\$7,500	08-25-2006	
ZFOR2005-1HMT	1	\$2,500	\$2,500	\$1,800	12-05-2005	
ZFSE2006-11HMT	1	\$5,000	\$5,000	\$5,000	09-19-2006	
ZGCC2004-1HMT	1	\$2,000	\$2,000	\$2,000	08-11-2006	

Date:12/18/06

Page No.: 39

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZGDT2005-1HMT	2	\$7,000	\$7,000	\$4,500	12-21-2005	
ZGEC2002-1HMT	3	\$22,500	\$22,500	\$12,900	01-30-2006	
ZGEC2003-1HMT	26	\$162,500	\$162,500	\$84,500	01-30-2006	
ZGLD2005-1HMT	1	\$4,000	\$4,000	\$4,000	09-05-2006	
ZGM2002-1HMT	2	\$5,000	\$5,000	\$5,000	04-27-2006	
ZGMA2005-1HMT	1	\$2,000	\$2,000	\$1,200	12-29-2005	
ZGNP2002-1HMT	4	\$8,000	\$0	\$0	01-27-2006	TERMINATED VIOLATION (S)#: 1,2,3,4
ZGNP2002-2HMT	1	\$2,000	\$0	\$0	01-27-2006	TERMINATED VIOLATION (S): 1

ZGRIF2003-1HMT	1	\$2,000	\$2,000	\$2,000	09-28-2006
ZGVC2005-1HMT	1	\$7,500	\$7,500	\$5,250	11-28-2005
ZHERT2006-1HMT	1	\$2,500	\$2,500	\$2,500	08-29-2006
ZHGQ2005-1HMT	1	\$7,500	\$7,500	\$4,750	02-06-2006
ZHILL2004-1HMT	1	\$5,000	\$5,000	\$5,000	10-13-2005
ZHKST2004-1HMT	1	\$2,000	\$2,000	\$2,000	10-13-2005
ZHLLC2004-1HMT	1	\$2,000	\$2,000	\$1,100	03-06-2006
ZHPQ2005-1HMT	1	\$2,000	\$2,000	\$1,000	06-05-2006
ZHUNT2005-2HMT	3	\$15,000	\$15,000	\$15,000	03-06-2006
ZINS2004-1HMT	1	\$2,500	\$2,500	\$2,500	08-15-2006
ZINTT2006-1HMT	1	\$5,000	\$5,000	\$5,000	06-28-2006
ZITT2005-1HMT	1	\$5,000	\$5,000	\$2,750	06-09-2006
ZITT2005-3HMT	2	\$6,000	\$6,000	\$4,500	09-20-2006
ZJCC2006-1HMT	2	\$4,000	\$4,000	\$3,000	06-20-2006
ZKFIR2005-3RSP	1	\$5,000	\$5,000	\$5,000	05-09-2006
ZKIR2004-1HMT	1	\$7,500	\$7,500	\$5,500	11-30-2005
ZKIR2004-2HMT	1	\$2,500	\$2,500	\$1,500	11-30-2005
ZKNC2005-1HMT	2	\$5,000	\$5,000	\$5,000	03-17-2006
ZKPI2005-1HMT	1	\$2,000	\$2,000	\$2,000	01-12-2006
ZKPI2005-2HMT	2	\$4,000	\$4,000	\$4,000	01-12-2006
ZKSAQ2004-1HMT	1	\$2,000	\$2,000	\$2,000	10-17-2005
ZLCQ2005-1HMT	1	\$2,000	\$2,000	\$1,250	03-22-2006
ZLIL2005-1HMT	1	\$2,000	\$2,000	\$2,000	02-02-2006
ZLLC2005-1HMT	1	\$2,000	\$2,000	\$2,000	04-06-2006
ZLLL2003-1HMT	1	\$2,000	\$2,000	\$2,000	01-19-2006
ZLMR2003-2HMT	2	\$4,000	\$4,000	\$2,400	03-07-2006
ZLON2005-2HMT	1	\$2,000	\$2,000	\$1,500	03-02-2006
ZLSC2005-1HMT	1	\$1,000	\$1,000	\$650	01-19-2006
ZLUB2004-2HMT	1	\$10,000	\$10,000	\$8,500	03-29-2006
ZLUB2005-1HMT	1	\$5,000	\$5,000	\$4,000	03-29-2006
ZLUB2005-2HMT	2	\$5,000	\$5,000	\$4,000	03-29-2006
ZMAHE2002-1HMT	1	\$2,500	\$2,500	\$1,625	01-31-2006
ZMAIT2005-1HMT	1	\$2,500	\$0	\$0	05-03-2006
TERMINATED VIOLATION (S): 1					
ZMANE2002-1HMT	2	\$10,000	\$10,000	\$6,400	01-30-2006
ZMARP2004-1HMT	18	\$24,000	\$24,000	\$21,000	05-02-2006
ZMARP2005-1HMT	2	\$10,000	\$10,000	\$8,500	05-02-2006
ZMARP2005-2HMT	1	\$2,500	\$2,500	\$2,500	04-21-2006

Date:12/18/06

Page No.: 40

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	Amount	Date	Comments
ZMARP2006-1HMT	1	\$5,000	\$5,000		\$4,000	05-02-2006	
ZMAX2001-1HMT	2	\$15,000	\$15,000		\$9,000	07-24-2006	
ZMAX2002-1HMT	2	\$15,000	\$15,000		\$9,000	07-24-2006	
ZMAX2002-2HMT	1	\$5,000	\$5,000		\$3,500	07-24-2006	
ZMAX2003-1HMT	1	\$2,000	\$2,000		\$1,500	07-24-2006	
ZMCA2004-1HMT	1	\$2,000	\$2,000		\$2,000	01-23-2006	
ZMCG2003-1HMT	3	\$9,000	\$9,000		\$6,000	06-06-2006	
ZMCN2006-1HMT	1	\$1,500	\$1,500		\$1,500	08-31-2006	
ZMDGP2004-1HMT	1	\$7,500	\$7,500		\$6,000	07-24-2006	
ZMDGP2004-2HMT	1	\$2,500	\$2,500		\$2,000	07-24-2006	
ZMDGP2006-1HMT	2	\$20,000	\$20,000		\$15,000	09-14-2006	
ZMDT2001-1HMT	1	\$5,000	\$5,000		\$3,250	01-24-2006	

ZMDT2004-1HMT	1	\$2,000	\$2,000	\$1,300	01-24-2006	
ZMED2004-1HMT	2	\$7,500	\$7,500	\$6,500	06-14-2006	
ZMED2005-1HMT	1	\$7,500	\$7,500	\$6,500	06-14-2006	
ZMERL2003-1HMT	4	\$8,000	\$8,000	\$6,000	01-31-2006	
ZMERL2003-2HMT	1	\$2,000	\$2,000	\$1,500	01-31-2006	
ZMERL2003-3HMT	2	\$7,000	\$7,000	\$5,250	01-31-2006	
ZMERL2003-4HMT	2	\$15,000	\$15,000	\$11,250	01-31-2006	
ZMERL2005-1HMT	1	\$2,000	\$2,000	\$1,500	01-31-2006	
ZMERL2005-2HMT	1	\$7,500	\$7,500	\$5,625	01-31-2006	
ZMFA2004-1HMT	1	\$7,500	\$7,500	\$4,000	07-24-2006	
ZMGP2003-3HMT	2	\$20,000	\$20,000	\$13,000	01-25-2006	
ZMGP2003-5HMT	1	\$2,000	\$2,000	\$1,200	01-25-2006	
ZMGP2003-6HMT	2	\$15,000	\$15,000	\$9,500	01-26-2006	
ZMGP2005-1HMT	1	\$5,000	\$5,000	\$3,350	01-26-2006	
ZMGPI2003-3HMT	2	\$20,000	\$20,000	\$13,000	01-26-2006	
ZMGPI2004-1HMT	1	\$7,500	\$7,500	\$4,500	01-26-2006	
ZMGPI2004-2HMT	1	\$10,000	\$10,000	\$6,500	01-26-2006	
ZMGPI2004-3HMT	2	\$10,000	\$10,000	\$6,000	01-26-2006	
ZMGPI2005-1HMT	1	\$5,000	\$5,000	\$3,350	01-26-2006	
ZMGR2004-1HMT	2	\$15,000	\$15,000	\$12,000	06-06-2006	
ZMILI2005-1HMT	2	\$10,000	\$10,000	\$5,000	05-09-2006	
ZMIM2005-1HMT	1	\$15,000	\$15,000	\$15,000	01-24-2006	
ZMIM2006-1HMT	5	\$18,000	\$18,000	\$18,000	03-28-2006	
ZMIM2006-2HMT	2	\$20,000	\$20,000	\$20,000	05-22-2006	
ZMIP2004-1HMT	1	\$2,000	\$0	\$0	07-18-2006	TERMINATED VIOLATION #: 1
ZMIP2004-2HMT	2	\$10,000	\$10,000	\$5,500	09-15-2006	
ZMIP2004-3HMT	2	\$3,500	\$2,500	\$1,500	09-15-2006	TERMINATED VIOLATION (S): 2
ZMIP2005-1HMT	2	\$5,000	\$0	\$0	09-15-2006	TERMINATED VIOLATION (S): 1,2
ZMIQ2006-1HMT	1	\$5,000	\$5,000	\$5,000	06-06-2006	
ZMLT2004-1HMT	2	\$8,500	\$0	\$0	06-06-2006	TERMINATED VIOLATION (S): 1,2
ZMMM2002-1HMT	1	\$2,000	\$2,000	\$2,000	09-05-2006	
ZMMPL2004-1HMT	2	\$4,000	\$4,000	\$2,400	01-26-2006	

Page No.: 41

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	POCA	PRCA	Amount	Date	Comments
ZMMPL2004-2HMT	1	\$10,000	\$10,000	\$7,500	01-26-2006	
ZMMQ2005-1HMT	1	\$5,000	\$5,000	\$3,000	03-01-2006	
ZMOQ2005-1HMT	10	\$20,500	\$20,500	\$12,500	04-24-2006	
ZMPT2002-1HMT	1	\$5,000	\$5,000	\$3,500	01-26-2006	
ZMRCC2003-1RW	2	\$5,000	\$0	\$0	04-12-2006	TERMINATED VIOLATION (S): 1,2
ZMRI2004-1HMT	1	\$7,500	\$0	\$0	01-26-2006	TERMINATED VIOLATION (S): 1
ZMRN2002-1HMT	2	\$3,000	\$3,000	\$3,000	11-07-2005	

ZMRN2004-1HMT	1	\$2,000	\$2,000	\$2,000	11-07-2005	
ZMSCC2004-2HMT	1	\$2,500	\$2,500	\$1,500	02-06-2006	
ZMSS2006-1HMT	7	\$17,500	\$0	\$0	07-27-2006	TERMINATE D VIOLATIO N(S): 1,2, 3,4,5,6,7
ZMTR2006-1HMT	3	\$15,000	\$15,000	\$9,000	09-06-2006	
ZMUS2001-2HMT	2	\$25,000	\$25,000	\$1,400	01-31-2006	CLAIMS TER MINATED: 1 ,2,3,5
ZMUS2002-1HMT	1	\$2,000	\$2,000	\$1,400	01-31-2006	
ZNOV2005-1HMT	2	\$15,000	\$15,000	\$15,000	09-19-2006	
ZNOV2006-1HMT	2	\$10,000	\$10,000	\$10,000	09-19-2006	
ZNSH2006-1HMT	1	\$5,000	\$5,000	\$5,000	09-19-2006	
ZOCC2003-1HMT	1	\$5,000	\$5,000	\$3,000	03-02-2006	
ZOCC2003-2HMT	1	\$10,000	\$10,000	\$6,800	03-02-2006	
ZOCC2004-1HMT	1	\$10,000	\$10,000	\$6,800	03-02-2006	
ZOCC2004-2HMT	1	\$5,000	\$5,000	\$3,400	03-02-2006	
ZOCC2004-3HMT	1	\$5,000	\$5,000	\$3,500	03-02-2006	
ZOCC2004-4HMT	1	\$6,000	\$6,000	\$4,250	03-02-2006	
ZOCC2004-5HMT	1	\$1,000	\$1,000	\$800	03-02-2006	
ZOCC2005-1HMT	1	\$5,000	\$5,000	\$3,200	03-02-2006	
ZOCE2006-1HMT	1	\$10,000	\$10,000	\$10,000	07-17-2006	
ZODF2006-1HMT	1	\$1,000	\$1,000	\$1,000	07-31-2006	
ZONCO2004-1HMT	2	\$5,000	\$5,000	\$3,000	11-09-2005	
ZOPS2004-1HMT	5	\$10,000	\$10,000	\$3,500	03-28-2006	
ZOSP2002-1HMT	1	\$5,000	\$5,000	\$2,700	01-30-2006	
ZOTER2004-1HMT	4	\$8,000	\$8,000	\$5,000	02-28-2006	
ZOVT2003-2HMT	1	\$5,000	\$5,000	\$3,000	02-28-2006	
ZPCCA2004-1HMT	2	\$10,000	\$10,000	\$6,200	01-18-2006	
ZPCE2002-1HMT	1	\$5,000	\$5,000	\$3,050	11-29-2005	
ZPCE2002-2HMT	1	\$5,000	\$5,000	\$3,050	11-29-2005	
ZPCE2002-3HMT	2	\$10,000	\$10,000	\$6,100	11-29-2005	
ZPCE2006-1HMT	2	\$10,000	\$10,000	\$6,000	06-14-2006	
ZPCH2003-1HMT	1	\$8,000	\$0	\$0	04-03-2006	TERMINATED VIOLATION (S): 1
ZPCH2005-1HMT	2	\$5,000	\$0	\$0	04-03-2006	TERMINATED VIOLATION (S): 1,2
ZPCSS2003-1HMT	4	\$20,000	\$20,000	\$12,400	02-08-2006	

Page No.: 42

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZPCSS2005-1HMT	6	\$30,000	\$30,000	\$18,600	06-15-2006	
ZPCSS2005-2HMT	1	\$10,000	\$10,000	\$6,550	06-15-2006	
ZPETE2003-1HMT	1	\$5,000	\$5,000	\$3,250	03-20-2006	
ZPFQ2005-1HMT	1	\$2,000	\$2,000	\$2,000	09-13-2006	
ZPG2003-1HMT	1	\$5,000	\$5,000	\$4,000	02-27-2006	
ZPG2003-2HMT	1	\$5,000	\$5,000	\$4,000	02-27-2006	
ZPG2004-1HMT	1	\$2,000	\$2,000	\$2,000	03-30-2006	
ZPG2005-1HMT	1	\$5,000	\$5,000	\$3,500	02-27-2006	
ZPHI2003-2HMT	1	\$2,000	\$2,000	\$1,400	06-01-2006	
ZPHI2005-1HMT	1	\$10,000	\$10,000	\$6,800	06-01-2006	

ZPHS2005-1HMT	1	\$10,000	\$10,000	\$5,200	11-17-2005	
ZPHS2005-2HMT	1	\$5,000	\$5,000	\$3,300	03-30-2006	
ZPHS2005-3HMT	1	\$5,000	\$5,000	\$3,300	03-30-2006	
ZPHS2006-1HMT	4	\$10,000	\$10,000	\$6,000	08-28-2006	
ZPIL2005-1HMT	1	\$7,500	\$7,500	\$5,250	12-07-2005	
ZPIO2001-1HMT	1	\$6,500	\$6,500	\$4,025	04-17-2006	
ZPIO2002-1HMT	1	\$6,000	\$6,000	\$4,100	04-06-2006	
ZPIO2003-1HMT	2	\$10,000	\$10,000	\$6,550	04-17-2006	
ZPIO2003-2HMT	1	\$10,000	\$10,000	\$6,450	04-17-2006	
ZPIO2003-3HMT	2	\$15,500	\$15,500	\$9,000	06-14-2006	
ZPIO2005-1HMT	2	\$5,000	\$5,000	\$3,000	06-14-2006	
ZPJC2005-3HMT	1	\$10,000	\$10,000	\$10,000	10-06-2005	
ZPJC2005-4HMT	1	\$2,000	\$2,000	\$1,400	01-23-2006	
ZPJC2005-5HMT	2	\$12,500	\$12,500	\$12,500	03-01-2006	
ZPJC2005-7HMT	3	\$5,000	\$5,000	\$5,000	04-06-2006	
ZPJC2006-1HMT	1	\$2,000	\$2,000	\$2,000	09-18-2006	
ZPJC2006-3HMT	1	\$2,500	\$2,500	\$2,500	06-06-2006	
ZPJC2006-4HMT	1	\$2,500	\$2,500	\$2,500	06-20-2006	
ZPJC2006-5HMT	1	\$10,000	\$10,000	\$10,000	09-13-2006	
ZPOT2006-1HMT	1	\$7,500	\$7,500	\$7,500	09-13-2006	
ZPPG2002-2HMT	1	\$5,000	\$5,000	\$3,700	12-07-2005	
ZPPG2003-1HMT	1	\$10,000	\$10,000	\$6,000	12-07-2005	
ZPPG2003-2HMT	1	\$7,500	\$7,500	\$4,650	12-07-2005	
ZPPG2003-3HMT	1	\$10,000	\$10,000	\$6,500	12-07-2005	
ZPPG2004-1HMT	1	\$15,000	\$0	\$0	10-17-2005	TERMINATED VIOLATION (S): 1
ZPPG2004-2HMT	1	\$5,000	\$5,000	\$2,500	12-07-2005	
ZPPG2005-1HMT	1	\$10,000	\$10,000	\$5,000	12-07-2005	
ZPRG2003-1HMT	1	\$10,000	\$10,000	\$7,750	10-18-2005	
ZPRG2004-1HMT	1	\$5,000	\$5,000	\$3,500	10-18-2005	
ZPRG2005-1HMT	4	\$22,000	\$22,000	\$14,500	10-18-2005	
ZPRG2005-2HMT	4	\$22,000	\$22,000	\$14,500	10-18-2005	
ZPRG2005-3HMT	1	\$10,000	\$10,000	\$7,250	10-18-2005	
ZPTRQ2004-1HMT	3	\$6,000	\$6,000	\$5,000	03-10-2006	
ZPVR2005-1HMT	1	\$4,000	\$4,000	\$4,000	02-21-2006	
ZPVS2003-1HMT	1	\$5,000	\$5,000	\$3,100	01-19-2006	
ZRBL2005-1HMT	1	\$5,000	\$5,000	\$5,000	11-09-2005	
ZRES2005-2HMT	1	\$7,500	\$7,500	\$5,000	02-10-2006	
ZRES2005-3HMT	1	\$2,000	\$0	\$0	02-15-2006	TERMINATED VIOLATION (S): 1

Date:12/18/06

Page No.: 43

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZRES2005-4HMT	2	\$9,500	\$9,500	\$5,500	02-10-2006	
ZRET2002-1HMT	1	\$10,000	\$10,000	\$6,700	01-26-2006	
ZRGZ2006-1HMT	2	\$6,000	\$6,000	\$6,000	04-20-2006	
ZRHD2000-2HMT	1	\$15,000	\$15,000	\$6,450	10-13-2005	
ZRII2005-1HMT	2	\$7,500	\$7,500	\$3,800	12-05-2005	
ZRINE2001-1HMT	1	\$10,000	\$10,000	\$1,500	01-30-2006	
ZRINE2001-2HMT	1	\$10,000	\$10,000	\$3,500	01-30-2006	
ZRIQ2005-1HMT	1	\$10,000	\$10,000	\$5,500	01-30-2006	
ZRSH2004-1HMT	2	\$10,000	\$2,500	\$1,600	11-08-2005	TERMINATED



						VIOLATION (S): 2
						TERMINATED
						VIOLATION (S): 1
ZRTLS2002-1HMT	1	\$5,000	\$0	\$0	04-20-2006	
ZRYC2006-1HMT	1	\$7,500	\$7,500	\$4,800	09-05-2006	
ZSCY2004-1HMT	1	\$2,000	\$2,000	\$1,200	03-31-2006	
ZSCY2005-2HMT	1	\$2,000	\$2,000	\$1,200	03-31-2006	
ZSI2005-1HMT	1	\$2,000	\$2,000	\$2,000	02-23-2006	
ZSIN2006-1HMT	2	\$20,000	\$20,000	\$20,000	03-31-2006	
ZSIND2005-1HMT	1	\$2,000	\$2,000	\$2,000	02-08-2006	
ZSLI2005-1HMT	1	\$2,500	\$2,500	\$2,500	11-28-2005	
ZSRA2005-2HMT	1	\$10,000	\$10,000	\$7,000	02-10-2006	
ZSRA2005-3HMT	1	\$10,000	\$10,000	\$7,500	02-10-2006	
ZSRR2005-1HMT	1	\$2,000	\$2,000	\$2,000	02-14-2006	
ZSUR2005-1HMT	1	\$2,000	\$2,000	\$1,400	02-14-2006	
ZTALL2003-1HMT	1	\$5,000	\$5,000	\$2,700	02-28-2006	
ZTALL2005-1HMT	1	\$5,000	\$5,000	\$3,000	02-28-2006	
ZTCML2005-1HMT	1	\$5,000	\$5,000	\$2,750	09-26-2006	
ZTEQ2005-1HMT	1	\$10,000	\$10,000	\$5,500	03-10-2006	
ZTERM2005-3HMT	1	\$7,500	\$7,500	\$3,750	01-19-2006	
ZTERM2005-4HMT	1	\$10,000	\$10,000	\$10,000	01-18-2006	
ZTLY2005-1HMT	3	\$7,500	\$7,500	\$7,500	06-26-2006	
ZTOQ2006-1HMT	1	\$2,000	\$2,000	\$1,300	02-28-2006	
ZTPA2006-1HMT	1	\$2,000	\$2,000	\$1,000	06-26-2006	
ZTSS2005-2HMT	1	\$2,500	\$2,500	\$2,500	01-30-2006	
ZTXM2006-1HMT	1	\$15,000	\$10,000	\$5,500	08-10-2006	PARTIALLY ADJUSTED P RCA FOR CL AIM 1
ZTXM2006-2HMT	1	\$10,000	\$10,000	\$5,500	08-10-2006	
ZTXM2006-3HMT	2	\$20,000	\$20,000	\$11,000	08-10-2006	
ZUBI2005-1HMT	1	\$5,000	\$5,000	\$4,250	01-13-2006	
ZUIL2005-1HMT	2	\$9,500	\$9,500	\$4,100	04-21-2006	
ZULM2005-1HMT	2	\$10,000	\$10,000	\$10,000	12-01-2005	
ZUNIV2005-2HMT	1	\$2,500	\$2,500	\$2,500	09-05-2006	
ZUPL2004-1HMT	1	\$10,000	\$10,000	\$4,500	01-23-2006	
ZUTC2004-3HMT	24	\$60,000	\$35,000	\$15,000	12-20-2005	
ZUTC2005-1HMT	1	\$10,000	\$10,000	\$8,000	12-20-2005	
ZUTC2005-2HMT	1	\$7,500	\$7,500	\$5,000	12-20-2005	
ZVALM2004-1HMT	1	\$5,000	\$5,000	\$2,500	04-13-2006	
ZVALM2004-2HMT	1	\$7,500	\$7,500	\$3,750	04-13-2006	
ZVALM2004-3HMT	4	\$8,500	\$8,500	\$8,500	04-13-2006	

Date:12/18/06

Page No.: 44

Federal Railroad Administration  
Safety Cases Closed in FY 2006

FRA Case No.	No. Viol	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZVALM2005-1HMT	2	\$10,000	\$10,000	\$5,000	04-13-2006	
ZVALM2005-2HMT	2	\$5,000	\$5,000	\$2,500	04-13-2006	
ZVALM2005-3HMT	1	\$2,000	\$2,000	\$1,300	04-13-2006	
ZVALM2005-4HMT	1	\$5,000	\$5,000	\$3,300	04-13-2006	
ZVALM2006-2HMT	1	\$5,000	\$5,000	\$3,750	08-18-2006	
ZVFT2005-1HMT	1	\$5,000	\$5,000	\$5,000	02-24-2006	
ZVGT2003-1HMT	1	\$5,000	\$2,000	\$2,000	01-31-2006	REVISED AS SESSMENT T

ZVGT2004-1HMT	2	\$4,500	\$4,500	\$2,000	01-31-2006	O \$2000
ZVOP2001-1HMT	1	\$5,000	\$5,000	\$500	05-02-2006	
ZWCA2005-1HMT	1	\$5,000	\$5,000	\$3,000	10-03-2005	
ZWER2003-1HMT	1	\$5,000	\$5,000	\$3,000	01-25-2006	
ZWERZ2005-2HMT	1	\$10,000	\$10,000	\$6,500	10-31-2005	
ZWEY2001-1HMT	2	\$10,000	\$10,000	\$10,000	06-05-2006	
ZWEY2001-2HMT	1	\$2,000	\$0	\$0	01-12-2006	TERMINATED VIOLATION (S): 1
ZWGRP2005-1HMT	1	\$10,000	\$10,000	\$8,000	10-28-2005	
ZWST2006-1HMT	1	\$7,500	\$7,500	\$7,500	04-18-2006	
ZWVC12005-1HMT	1	\$2,500	\$2,500	\$1,500	10-03-2005	
ZWWL2004-1HMT	1	\$2,000	\$2,000	\$1,200	06-22-2006	
ZYFS2005-1HMT	2	\$10,500	\$10,500	\$6,950	06-12-2006	
ZYFS2005-2HMT	1	\$7,500	\$7,500	\$5,250	06-12-2006	
ZYFS2006-1HMT	4	\$20,000	\$20,000	\$12,500	06-12-2006	
ZYFS2006-2HMT	1	\$5,000	\$5,000	\$5,000	09-18-2006	
ZZAC2006-1HMT	1	\$2,000	\$2,000	\$2,000	09-06-2006	
ZZMP2005-1HMT	1	\$7,500	\$7,500	\$5,000	06-27-2006	
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		\$16,939,000	\$15,716,900	\$10,676,275		

To return to previous page click [here](#)

**RCC****Respondent****Codes****Respondent Names**

AA	ANN ARBOR RAILROAD
AGCR	ALAMO GULF COAST RAILROAD
ALS	THE ALTON AND SOUTHERN RAILWAY CO.
ATK	NATIONAL RAILROAD PASSENGER CORPORATION
AUAR	AUSTIN AREA TERMINAL RAILROAD
AZER	ARIZONA EASTERN RAILWAY
BAR	BANGOR AND AROOSTOOK RAILROAD
BDTL	BALLARD TERMINAL RAILROAD
BKRR	BATTEN KILL RAILROAD
BLE	BESSEMER & LAKE ERIE RAILROAD COMPANY
BM	BOSTON AND MAINE CORPORATION
BNSF	BNSF RAILWAY COMPANY
BRC	THE BELT RAILWAY COMPANY OF CHICAGO
BRG	BROWNSVILLE AND RIO GRANDE INTERNATIONAL RAILROAD
BS	BIRMINGHAM SOUTHERN RAILROAD COMPANY
CBRM	CHILLICOTHE & BRUNSWICK RAIL MAINTENANCE AUTHORITY
CCT	CENTRAL CALIFORNIA TRACTION COMPANY
CLC	COLUMBIA & COWLITZ RAILWAY COMPANY
CN	CANADIAN NATIONAL RAILWAY COMPANY
CNTP	THE CINCINNATI, NEW ORLEANS & TEXAS PAC. RY. CO.
CORP	CENTRAL OREGON & PACIFIC RAILROAD
CP	CANADIAN PACIFIC RAILWAY
CR	CONSOLIDATED RAIL CORPORATION
CRL	CHICAGO RAIL LINK
CRRX	CANON CITY ROYAL GORGE RAILROAD
CSS	CHICAGO SOUTHSHORE & SOUTH BEND RAILROAD
CSX	CSX TRANSPORTATION, INC.
CZRY	CARRIZO GORGE RAILWAY
DH	DELAWARE AND HUDSON RAILWAY CO., INC.
DME	DAKOTA, MINNESOTA & EASTERN RAILROAD
DMVW	DAKOTA MISSOURI VALLEY AND WESTERN
DTNC	NORTH CAROLINA DEPARTMENT OF TRANSPORTATION
EKS	EDWARD KRAEMER & SONS
ELS	ESCANABA AND LAKE SUPERIOR RAILROAD COMPANY
ERAIL	ECONO-RAIL CORPORATION
FCEN	FLORIDA CENTRAL RAILROAD CO.
FDT	FLORIDA DEPARTMENT TRANSPORTATION
GETV	GE - TRANSPORTATION
GITM	GOLDEN ISLES TERMINAL RAILROAD
GMRC	GREEN MOUNTAIN RAILROAD CORPORATION
GRR	GEORGETOWN RAILROAD COMPANY
GWR	GREAT WESTERN RAILWAY COMPANY
IAIS	IOWA INTERSTATE RAILROAD

IC	ILLINOIS CENTRAL RAILROAD COMPANY
ICE	IOWA, CHICAGO & EASTERN RAILROAD CORP.
IORY	INDIANA AND OHIO RAILWAY
ISW	INDIANA SOUTHWESTERN RAILWAY
JEFW	JEFFERSON WARRIOR RAILROAD
KCS	THE KANSAS CITY SOUTHERN RAILWAY COMPANY
KO	KANSAS & OKLAHOMA RAILROAD, INC.
LI	LONG ISLAND RAIL ROAD
LIRC	LOUISVILLE & INDIANA RAILROAD COMPANY
LKP	LAHAINA KAA NAPOLI AND PACIFIC
LRWN	LITTLE ROCK WESTERN RAILWAY
LSI	LAKE SUPERIOR & ISHPEMING RAILROAD COMPANY
MACZ	MARYLAND ASSOCIATION OF RAIL COMMUTERS
MBCR	MASSACHUSETTS BAY COMMUTER RAILROAD
MCR	MCCLOUD RIVER RAILROAD COMPANY
MDT	MARYLAND DEPT. OF TRANSPORTATION
MH	MOUNT HOOD RAILWAY COMPANY
MMA	MONTREAL, MAINE AND ATLANTIC RAILWAY, LTD
MNA	MISSOURI & NORTHERN ARKANSAS RAILROAD
MNCW	METRO NORTH COMMUTER RAILROAD COMPANY
MNNR	MINNESOTA COMMERCIAL RAILWAY
MRL	MONTANA RAIL LINK
MRRS	MONTANA RAILROAD SERVICES
MRS	MANUFACTURERS' RAILWAY COMPANY (ST. LOUIS)
MRSR	MOUNT RAINIER SCENIC RAILROAD
MSO	MICHIGAN SOUTHERN RAILROAD
MVT	MOUNT VERNON TERMINAL RAILWAY, INC.
NECR	NEW ENGLAND CENTRAL RAILROAD
NIRC	NORTHEAST ILLINOIS REGIONAL COMMUTER RAIL CORP.
NYSW	THE NEW YORK SUSQUEHANNA AND WESTERN RY. CORP.
PAL	PADUCAH & LOUISVILLE RAILWAY COMPANY
PHL	PACIFIC HARBOR LINE
PLCX	PORT OF LAKE CHARLES
PNWR	PORTLAND AND WESTERN RAILROAD INC.
POTB	PORT OF TILLAMOOK BAY RAILROAD
PTRA	PORT TERMINAL RAILROAD ASSOCIATION
PVRR	PIONEER VALLEY RAILROAD COMPANY, INCORPORATED
RBMN	READING BLUE MOUNTAIN & NORTHERN RAILROAD
RJCM	R. J. CORMAN RAILROAD COMPANY
RWSI	RAILWORKS TRACK SYSTEMS, INC.
SEPA	SOUTHEASTERN PENNSYLVANIA TRANSPORTATION AUTHORITY
SJVR	SAN JOAQUIN VALLEY RAILROAD
SKOL	SOUTH KANSAS AND OKLAHOMA RAILROAD
SLC	SAN LUIS CENTRAL RAILROAD COMPANY
SOO	SOO LINE RAILROAD COMPANY
SOU	NORFOLK SOUTHERN RAILWAY CO.
ST	SPRINGFIELD TERMINAL RAILWAY COMPANY
SW	SOUTHWESTERN RAILROAD
TASD	TERMINAL RAILWAY ALABAMA STATE DOCKS
TCRZ	TRI-CITY RAILROAD COMPANY
TIBR	TIMBER ROCK RAILROAD COMPANY INC.
TM	TEXAS MEXICAN RAILWAY COMPANY

TSBY	TUSCOLA & SAGINAW BAY RAILWAY COMPANY, INC.
TXDOT	TEXAS DEPARTMENT OF TRANSPORTATION
TZPR	TAZEWELL & PEORIA RAILROAD INC.
UP	UNION PACIFIC RAILROAD COMPANY
UTAH	UTAH RAILWAY COMPANY
WPRR	WILLAMETTE AND PACIFIC RAILROAD, INC.
WRRC	WESTERN RAILROAD COMPANY
WSOR	WISCONSIN & SOUTHERN RAILROAD
WTLC	WEST TEXAS AND LUBBOCK RAILWAY COMPANY
XNAI	NUFARM AMERICAS, INC.
XSNJ	SOUTHERN NEW JERSEY LIGHT RAIL SYSTEM
XUSE	U.S. ENVIRONMENTAL SERVICES, LLC
ZACH	ASHTA CHEMICALS INC.
ZACQ	ACE ETHANOL
ZAFQ	AFTON CHEMICAL
ZAGT	AMERIGAS
ZAKQ	AK STEEL CORP.
ZALRC	ALARON CORP.
ZAMU	ARR-MAZ CUSTOM CHEMICALS CORPORATION
ZAPL	AMERICAN PRESIDENT LINES LTD.
ZATE	ALLIANT TECH SYSTEMS
ZAUG	AGRIUM
ZAUI	AGRIUM U.S. INC.
ZAUL	ALLIED UNIVERSAL CORPORATION
ZBAS	BASF CORPORATION
ZBMS	BRENNTAG MID-SOUTH, INC.
ZBPCE	B.P. PRODUCTS, NORTH AMERICA
ZBPO	BP OIL COMPANY
ZBPPZ	BP/AMOCO PRODUCTS
ZBPWC	B.P. WEST COAST PRODUCTS
ZBTCI	BRENNTAG CANADA INC.
ZCANI	CANAC, INC.
ZCCM	CARGILL-CORN MILLING DIVISION
ZCEF	CHIEF ETHANOL FUELS
ZCELA	CELANESE LTD
ZCFG	CARGILL FERTILIZER INCORPORATED
ZCHC	CHEMCENTRAL
ZCHEV	CHEVRON PRODUCTS
ZCIBS	CIBA SPECIALTY CHEMICALS
ZCII	COLONIAL OIL INDUSTRIES
ZCLOG	CHEMICAL LOGISTICS, INC.
ZCLP	CELANESE PAMPA LTD PLANT
ZCLU	CALUMET LUBRICANT COMPANY
ZCMA	CMA-CGM (AMERICA)
ZCMEC	CENTRAL MINNESOTA ETHANOL COOP
ZCMR	COUNTRYMARK REFINERY
ZCNS	COSCO NORTH AMERICA
ZCOG	COGNIS
ZCOX	CLOROX COMPANY
ZCPPC	CHEVRON PHILLIPS PETROLEUM COMPANY
ZCPQ	C P SHIPS
ZCPR	CITGO PETROLEUM CORP.

ZCPSI	CROP PRODUCTION SERVICE, INC.
ZCROM	CROMPTON CORPORATION
ZCRP	CARPENTER COMPANY
ZCSPI	CHEMICAL SPECIALTIES, INC.
ZCT	COLONIAL TERMINALS
ZCTP	CHEVRON-TEXACO PRODUCTS
ZDFI	DELTA FUELS, INC.
ZDNL	DYNEGY LIQUIDS
ZDTI	DOMTAR INDUSTRIES
ZEAGS	EAGLE SYSTEMS INC.
ZEAS	EVERGREEN AMERICA CORP.
ZECDP	EPCO CARBON DIOXIDE PRODUCTS
ZEDC	EL DORADO CHEMICAL CO.
ZEKAC	EKA CHEMICALS
ZEPC	ENTERPRISE PRODUCTS CO.
ZEQU	EQUISTAR CHEMICALS LP
ZEXM	EXXON MOBIL
ZFGC	FERRELL GAS, INC.
ZFIR	FRONTIER OIL AND REFINING COMPANY
ZFOR	FRONTIER REFINING, INC.
ZFSE	FIRESTONE
ZGCC	GEORGIA GULF CORP.
ZGDT	GROENDYKE TRANSPORT, INC.
ZGEC	GENERAL ELECTRIC RAILCAR SERVICES CORPORATION
ZGLD	GOLD ENTERPRISE INC.
ZGM	GENERAL MOTORS CORPORATION
ZGMA	GAC MIDAMERICA, INC.
ZGNP	GREAT NORTHERN PAPER, INC.
ZGRIF	GRIFF, LLC
ZGVC	GEISMAR VINYLs COMPANY LP
ZHERT	HERITAGE PROPANE COMPANY
ZHGQ	HUB GROUP, INC.
ZHILL	HILLS BROTHERS CHEMICAL
ZHKST	HAWKINS TERMINAL WATER TREATMENT COMPANY
ZHLLC	HORIZON LINES, LLC.
ZHPQ	HARMS PACIFIC
ZHUNT	HUNT REFINING COMPANY
ZINS	IN-TERMINAL SERVICES CORPORATION
ZINTT	INTERNATIONAL MATEX TANK TERMINALS
ZITT	INTERCONTINENTAL TERMINALS COMPANY
ZJCC	JONES CHEMICAL CO.
ZKFIR	KETTLE FALLS INTERNATIONAL RAILWAY LLC
ZKIR	KINETIC RESOURCES
ZKNC	KOCH NITROGEN COMPANY
ZKPI	KOPPERS, INC.
ZKSAQ	KAO SPECIALTIES AMERICAS
ZLCQ	LA CHEMICAL
ZLIL	LAWTER INTERNATIONAL, INC.
ZLLC	LUDLOW COATED PRODUCTS
ZLLL	LYKES LINES LIMITED, LLC
ZLMR	LONE MOUNTAIN RELOAD
ZLON	LONZA, INC.

ZLSC	LANDMARK SERVICES COOPERATIVE
ZLUB	LUBRIZOL CORPORATION
ZMAHE	MAHER TERMINALS, INC.
ZMAIT	MATSON INTERMODAL
ZMANE	MANILDRA ENERGY CORPORATION
ZMARP	MARATHON ASHLAND PETROLEUM
ZMAX	METHANEX METHANOL COMPANY
ZMCA	MAGNESIUM CORPORATION OF AMERICA
ZMCG	MCGREGOR COMPANY
ZMCN	MASON CORP.
ZMDGP	MIDWEST GRAIN PROCESSORS
ZMDT	MIDWEST TERMINALS INC.
ZMED	MEDITERRANEAN SHIPPING COMPANY (USA), INC.
ZMERL	MERISOL
ZMFA	MFA AGRI SERVICE
ZMGP	MIDWEST GRAIN PRODUCTS, INC.
ZMGPI	MGP INGREDIENTS OF ILLINOIS, INC.
ZMGR	MCGEAN, INC.
ZMILI	MATSON INTEGRATED LOGISTICS, INC.
ZMIM	MINERA MEXICO INTERNACIONAL
ZMIP	MAERSK INC.
ZMIQ	MILLENNIUM INORGANIC CHEMICALS
ZMLT	MARC LARGENT TRUCKING
ZMMM	3-M MINNESOTA MINING & MFG. CO.
ZMMPL	MAGELLAN MIDSTREAM PARTNERS, LP
ZMMQ	MID-MISSOURI ENERGY, INC.
ZMOQ	THE MOSAIC COMPANY
ZMPT	MISSISSIPPI PHOSPHATE
ZMRCC	MRC COMPANY
ZMRI	MILLENNIUM RAIL, INC.
ZMRN	MARTIN RESOURCES, INC.
ZMSCC	MONTANA SULFUR AND CHEMICAL CO.
ZMSS	MAERSK SEALAND
ZMTR	MONTANA RESOURCES
ZMUS	MARYSVILLE UNDERGROUND STORAGE TERMINAL
ZNOV	NOVA CHEMICALS, LTD.
ZNSH	NATIONAL STARCH AND CHEMICAL CORP.
ZOCC	OCCIDENTAL CHEMICAL CORPORATION
ZOCE	OTTER CREEK ETHANOL
ZODF	OLD DOMINION FREIGHT LINES
ZONCO	ONDEO NALCO COMPANY
ZOPS	OPS, INC.
ZOSP	ORION SAFETY PRODUCTS
ZOTER	ODFJELL TERMINALS
ZOVT	OVERNITE TRANSPORTATION
ZPCCA	PIONEER CHEMICAL CANADA
ZPCE	PCI CHEMICALS CANADA INC.
ZPCH	PIONEER CHEMICAL, INC.
ZPCSS	PCS SALES (USA) INC.
ZPETE	PETES AG., INC.
ZPFQ	PACIFIC FLUIDS
ZPG	PROCTER & GAMBLE

ZPHI	PHIBRO-TECH, INC.
ZPHS	PHILIP SERVICES
ZPIL	PILOT CHEMICALS
ZPIO	PIONEER COMPANY INC.
ZPJC	CONOCOPHILLIPS
ZPOT	POTLATCH CORPORATION
ZPPG	PPG INDUSTRIES, INC.
ZPRG	PREMCOR REFINING GROUP INC.
ZPTRQ	PETRA CHEMICAL COMPANY
ZPVR	PVS TRANSPORTATION
ZPVS	PVS CHEMICAL, INC.
ZRBL	ROY BROTHERS LIQUID BULK TRANSPORTATION
ZRES	RESCAR, INC.
ZRET	ROMIC ENVIRONMENTAL TECHNOLOGIES, CORP.
ZRGZ	RELIANT GASES
ZRHD	RHODIA, INC.
ZRII	REILLY INDUSTRIES, INC.
ZRINE	RINECO CORPORATION
ZRIQ	REILLY INDUSTRIES
ZRSH	RSI LOGISTICS, INC.
ZRTLS	RAIL TERMINAL SERVICES
ZRYC	REILLY TAR AND CHEMICAL
ZSCY	SIERRA CHEMICAL COMPANY
ZSI	SOUTHERN IONICS
ZSIN	SINCLAIR OIL CORP.
ZSIND	SEELER INDUSTRIES
ZSLI	SOLUTIA, INC.
ZSRA	SAFETY RAILWAY SERVICE
ZSRR	SOUTHSIDE RIVER RAIL CORP.
ZSUR	SURPASS CHEMICAL COMPANY, INC.
ZTALL	TALL CORN ETHANOL LLC
ZTCML	TECK COMINCO METALS LTD.
ZTEQ	TRANSPORTATION EQUIPMENT INDUSTRIES
ZTERM	TESORO REFINING AND MARKETING CO.
ZTPA	TORAY PLASTICS (AMERICA), INC.
ZTLY	TATE & LYLE INGREDIENTS INC.
ZTOQ	TROCO OIL COMPANY
ZTSS	TESSENDERLO-KERLEY CO.
ZTXM	TEXMARK CHEMICALS
ZUBI	UNITED BIO ENERGY FUELS
ZUIL	INFINEUM USA LP
ZULM	ULTRAMAR LTD
ZUNIV	UNIVAR USA INC.
ZUPL	UNITED PRAIRIE, LLC
ZUTC	UNION TANK CAR COMPANY
ZVALM	VALERO MARKETING AND SUPPLY COMPANY
ZVFT	VFT CANADA, INC.
ZVGT	VAN G. TRUCKING
ZVOP	VOPAC
ZWCA	WESTLAKE CA. AND O CORPORATION
ZWER	WILLIAMS ENERGY SERVICE
ZWERZ	WESTERN REFINING COMPANY



ZWEY  
ZWGRP  
ZWST  
ZWVCI  
ZWWL  
ZYFS  
ZZAC  
ZZMP

WEYERHAEUSER COMPANY  
WESTLAKE GROUP  
WESTWAY TERMINAL COMPANY  
WESTLAKE VINYL CORPORATION, INC.  
WEST WIN LTD.  
YELLOW TRANSPORTATION INC.  
ZACLON, INC.  
ZEP MANUFACTURING CO.

# MAJOR RAILROAD SETTLEMENTS FOR FY 2006, SEPTEMBER 2006

Last revised: December 12, 2006

RR	POCA	PRCA	Settlement	% POCA	% PRCA
ATK	\$ 202,000.00	\$ 197,000.00	\$ 125,580.00	62.17	63.75
BNSF	\$ 2,579,500.00	\$ 2,496,500.00	\$ 1,640,747.00	63.61	65.72
CN/GTW/IC/ DWP/WC	\$ 656,000.00	\$ 656,000.00	\$ 446,525.00	68.07	68.07
CP/SOO/DH	\$ 586,500.00	\$ 280,500.00	\$ 206,670.00	35.24	73.68
CR	\$ 70,500.00	\$ 70,500.00	\$ 50,875.00	72.16	72.16
CSX	\$ 3,152,500.00	\$ 2,956,000.00	\$ 1,918,810.00	60.87	64.91
KCS	\$ 179,500.00	\$ 174,500.00	\$ 111,550.00	62.14	63.93
NS	\$ 1,241,000.00	\$ 1,235,500.00	\$ 839,460.00	67.64	67.95
Pan Am Rys	\$ 57,000.00	\$ 52,000.00	\$ 30,465.00	53.45	58.59
Rail America	\$ 282,500.00	\$ 282,500.00	\$ 196,025.00	69.39	69.39
TRAN	\$ 19,500.00	\$ 19,500.00	\$ 11,750.00	60.26	60.26
UP	\$ 3,877,500.00	\$ 3,546,000.00	\$ 2,477,834.00	63.90	69.88
WATCO	\$ 173,500.00	\$ 173,500.00	\$ 111,135.00	64.05	64.05
TOTALS	\$ 13,077,500.00	\$12,140,000.00	\$ 8,167,426.00	62.45	67.28
Other FY 2006 Closed Cases, except Indpen	\$ 3,864,000.00	\$ 3,579,400.00	\$ 2,511,349.00	64.99	70.16
Grand Total	\$ 16,941,500.00	\$ 15,719,400.00	\$ 10,678,775.00	63.03	67.93